

Long-Term In Vivo Imaging and Measurement of Dendritic Shrinkage of Retinal Ganglion Cells

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PURPOSE. To monitor and measure dendritic shrinkage of retinal ganglion cells (RGCs) in a strain of transgenic mice (Thy-1 YFP) that expresses yellow fluorescent proteins in neurons under the control of a Thy-1 promoter.

METHODS. A total of 125 RGCs from 16 eyes of Thy-1 YFP transgenic mice were serially imaged with a confocal scanning laser ophthalmoscope for 6 months after optic nerve crush. Quantitative analysis of cell body area, axon diameter, dendritic field, number of terminal branches, total dendritic branch length, branching complexity, symmetry, and distance from the optic disc was used to characterize the morphology of RGCs, describe the patterns of axonal and dendritic degeneration, identify the morphologic predictors for cell survival, and estimate the rate of dendritic shrinkage.

RESULTS. RGC damage was observed prospectively to begin with progressive dendritic shrinkage, followed by loss of the axon and the cell body. In a small proportion of RGCs, progressive axonal changes including fragmentation, beading, retraction, and bulb formation were also observed. RGCs with a larger dendritic field and a longer total dendritic branch length in general have a better survival probability. The rate of dendritic shrinkage was variable with a slower rate observed in cells having a larger dendritic field, a longer total dendritic branch length, and a greater distance from the optic disc.

CONCLUSIONS. Estimating the probability of RGC survival and measuring the rate of dendritic shrinkage could become a new paradigm for investigating neuronal degeneration and evaluating the response of neuroprotective treatment. (*Invest Ophthalmol Vis Sci.* 2011;52:1539–1547) DOI:10.1167/iovs.10-6012

Progressive neuronal damage is a common feature of neurodegenerative diseases. While visualizing the morphology of individual neurons is pivotal to studying neuronal degeneration and neuroprotection, direct observation of the spatial and temporal changes of axonal and dendritic arborization has been difficult in the mammalian central nervous system. In vivo acute axonal degeneration was first demonstrated in the spinal cord with time-lapse imaging.^{1,2} However, this model does not work on concomitant visualization of dendritic trees and cell bodies. Because of the clear optical media of the eye, retinal ganglion cells (RGCs) represent a unique neuronal cell type that offers the opportunity for simultaneous evaluation of dendritic and axonal structures. Despite the fact that the RGCs can be visualized in vivo with injection of neuronal tracers to the superior colliculus,^{3,4} most neuronal tracers do not reveal the fine details of the dendritic trees. Short survival time and fading of neuronal tracers also impedes longitudinal evaluation of cell survival.⁵

The introduction of a strain of transgenic mice that expresses fluorescent protein in RGCs under the control of a neuron-specific fragment of the Thy-1 promoter offers a non-invasive method for long-term monitoring of progressive neuronal changes.⁶ We recently modified a confocal scanning laser ophthalmoscope (CSLO) to image RGCs in transgenic mice expressing cyan fluorescent protein under the control of the promoter for Thy-1 (Thy1-CFP23Jrs mice).^{7–9} Although individual RGC bodies can be identified, axon and dendritic arborization cannot be visualized in this animal strain in vivo. Another mouse strain that can be used for in vivo visualization of RGCs is Thy1-YFP16Jrs. Because of strain-to-strain variation in transgene expression,⁶ less than 1% of RGCs are labeled. The relatively low density of YFP (yellow fluorescent protein)-positive RGCs in the retina of these mice facilitates visualization and demarcation of dendritic arborization of individual RGCs, as interference from adjacent cells would be minimal. We developed an imaging model using a CSLO to study axonal and dendritic degeneration in the Thy1-YFP16Jrs transgenic mice. This technique allows long-term in vivo examination of dendritic and axonal structures in live animals without the need for local or systemic anesthesia. With quantitative analysis of somatic, axonal, and dendritic parameters, we characterize the morphology of RGCs, describe the patterns of axonal and dendritic degeneration, identify morphologic predictors for cell survival, and estimate the rate of dendritic shrinkage after optic nerve crush.

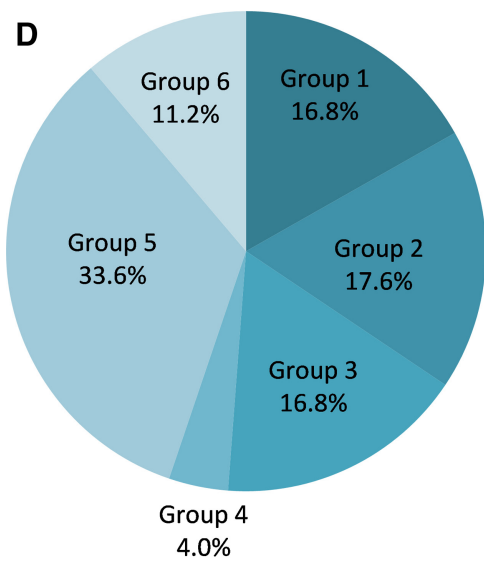
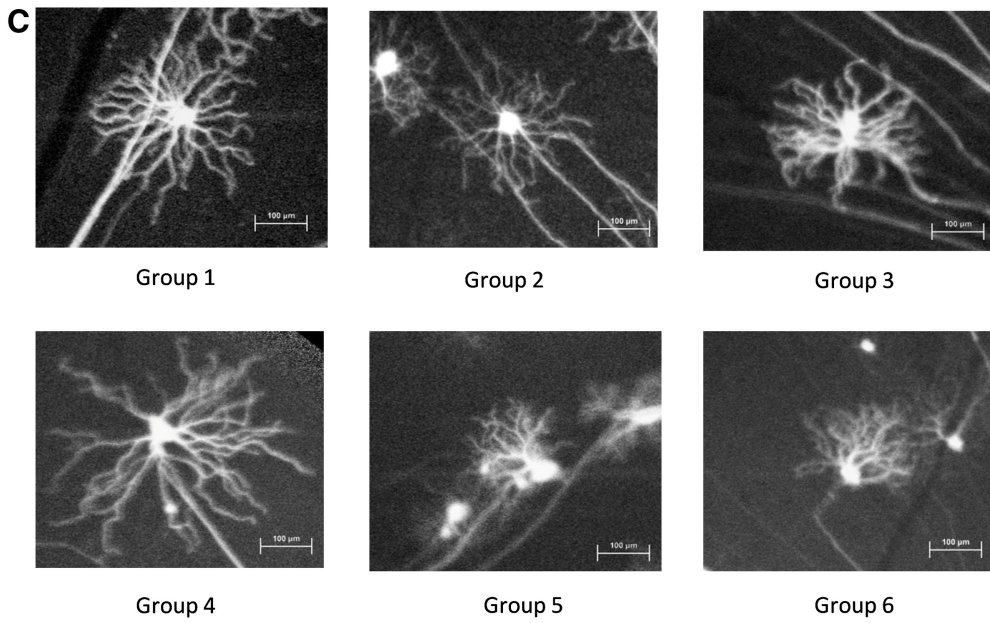
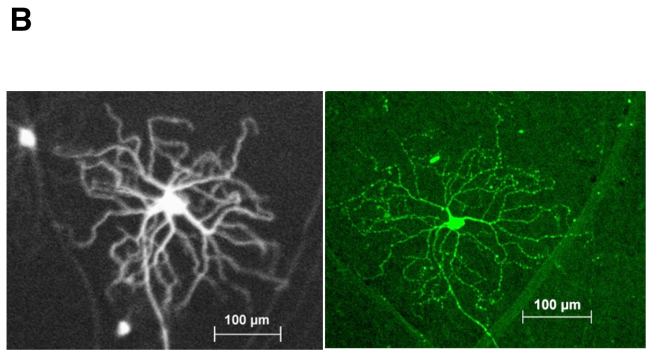
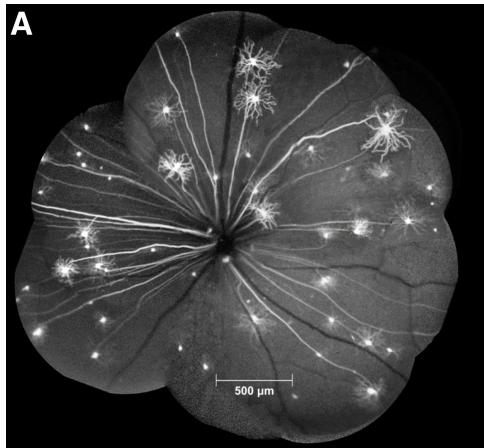
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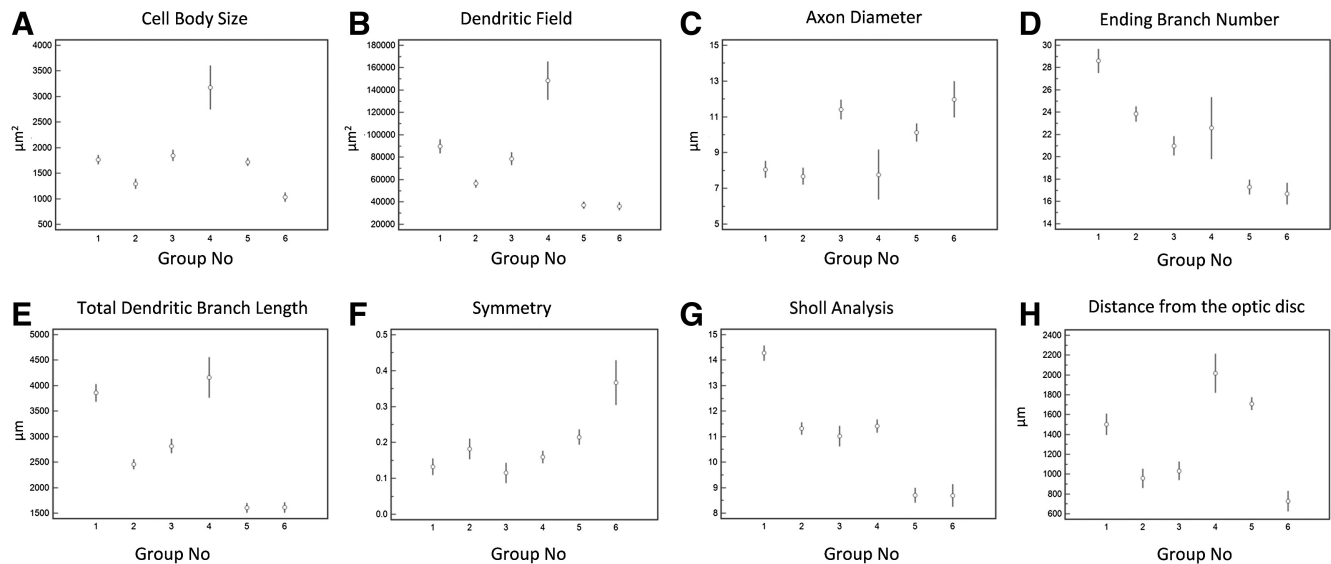


FIGURE 2. Measurement of eight morphologic and anatomic parameters including (A) cell body size, (B) dendritic field, (C) axonal diameter, (D) ending branch number, (E) total dendritic branch length, (F) symmetry, (G) branching complexity (Sholl analysis), and (H) distance from the optic disc in six groups of RGCs classified in the cluster analysis. Group 4 RGCs had the largest dendritic field and cell body area, the longest total dendritic branch length, and a location farthest away from the optic disc. RGCs in groups 5 and 6 had the smallest dendritic field and the shortest total dendritic branch length. Group 6 RGCs had the smallest cell body size and were closest to the optic disc. The dendritic branching was most complex in group 1 RGCs and they had the highest number of ending branches. Yet, the dendritic field was medium sized. Group 2 and 3 RGCs were similar in many aspects, although the axon diameter, dendritic field, and cell body size were generally greater in group 3 RGCs. Error bar, SEM.

METHODS

Animals

Three to 6-month-old transgenic mice in which Thy-1 promoter sequences drive expression of the enhanced YFP, designated B6.Cg-TgN (Thy1-YFP)16Jrs, were generated by Feng et al.⁶ Breeding pairs of Thy1-YFP16Jrs mice were obtained from The Jackson Laboratory (Bar Harbor, ME). The environment was kept at 21°C with a 12-hour light and 12-hour dark cycle. All mice were fed ad libitum. The animals used in this study were treated in accordance with the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research.

Optic Nerve Crush

Mice were anesthetized by intraperitoneal injection of ketamine (100 mg/kg Ketaset; Fort Dodge Animal Health, Fort Dodge, IA) and xylazine (9 mg/kg Tranquived; Vedco, Inc., St. Joseph, MO). A limbal conjunctival peritomy was performed in the inferior region and gently peeled back to allow access to the posterior region of the globe. The optic nerve was then exposed through a small window made between the surrounding muscle bundles and fatty tissue by gentle blunt dissection. Care was taken not to damage muscles or the vortex veins. At a site approximately 1 mm posterior to the globe, the optic nerve was clamped with a pair of Dumont no. 5 self-closing tweezers (Ted Pella

Inc., Redding, CA) for 2 to 3 seconds. After this procedure, antibiotic ointment was applied to the surgical site. In the postoperative period, the mice exhibited normal eating and drinking behavior.

In Vivo CSLO Imaging

A commercially available CSLO (HRA2; Heidelberg Engineering, GmbH, Dossenheim, Germany) was used for RGC imaging. A lens with a 55° wide field was mounted to the camera to increase the field of view of the fundus. The scan rate of the CSLO was 16 frames per second. Eye-tracking (a retinal recognition technology enabling the same retinal location to be locked on) was activated during imaging. The pupils were dilated with topical mydriatic agents (tropicamide and phenylephrine, 0.5% each) to approximately 2 mm in diameter. Fifteen images at the same retinal location were captured, averaged automatically by the built-in software to augment the signal-to-noise ratio, and simultaneously displayed on a computer screen. Each retinal frame represents approximately 40% of total retinal area at an optical resolution of 5 to 10 µm. A 10-second break was given for every 15 seconds of imaging to allow eye blinking and to keep the corneal surface moist. Photobleaching or phototoxicity was not observed, as there was no detectable change in dendritic or axonal morphology, even after imaging at the same laser intensity for 30 minutes.

Figure 1. In vivo imaging with a CSLO showing the axonal and dendritic structures of the RGCs in transgenic Thy-1 YFP mice. (A) A retinal montage was constructed from 12 images captured in different retinal areas of a healthy transgenic Thy-1 YFP mouse. The fluorescent cells can be distinctively identified as RGCs, because the axons are clearly visible emerging from the cell bodies and running toward the optic disc. It is notable that the dendritic and axonal structures may not be clearly visualized in all RGCs in the CSLO images, because the cell body, dendrites, and axons of an RGC may not reside on the same retinal plane. (B) There is a direct correspondence in the branching number and pattern of dendritic arborization between live image capture (*left*) and image obtained with a confocal laser scanning microscope in a retinal whole mount (*right*). (C) Cluster analysis on 125 RGCs with clear axonal and dendritic structures visualized by the CSLO identified six morphologic groups with reference to cell body size, axonal diameter, dendritic field, ending branch number, total dendritic branch length, symmetry, branching complexity (Sholl analysis), and distance from the optic disc (see Fig. 2). (D) Among the six morphologic groups, group 4 RGCs constituted the smallest proportion in the retina whereas one-third of the RGCs belonged to group 5.

Confocal Laser Scanning Microscopy of Retinal Whole Mounts

Mice were anesthetized as described and exsanguinated by perfusion with oxygenated mammalian Ringer's solution containing lidocaine hydrochloride (0.1 mg/mL Xylocaine; Astra USA., Inc., Westborough, MA) and heparin sodium (500 U/mL heparin; Elkins-Sinn, Inc., Cherry Hill, NJ). Transcardial perfusion was then continued with fixative (~20 mL of 4.0% paraformaldehyde in 0.1 M phosphate buffer [pH 7.4]). Retinas were dissected after the inferior area of the retina was identified by the inferior position of the ophthalmic artery. They were placed in fixative for 3 hours, rinsed in 0.1 M phosphate buffer, and then mounted flat on a glass slide. A coverslip was mounted (Fluoromount G; Southern Biotech, Birmingham, AL). The RGCs were imaged at 20 \times magnification by a confocal laser scanning microscope (model C1; Nikon, Tokyo, Japan).

Immunohistochemistry

The retina was incubated in a blocking solution containing 10% normal goat serum (NGS), 2% bovine serum albumin, and 0.5% Triton X-100 in phosphate-buffered saline (PBS; pH 7.4). The mouse anti-SMI-32, a monoclonal antibody against nonphosphorylated neurofilament H, was purchased from Sternberger Monoclonals, Inc. (Baltimore, MD). The primary antibody (1:100) was diluted in 5% NGS, 1% BSA, and 0.3% Triton X-100 in PBS and applied for 4 days. Secondary antibody conjugated with rhodamine red (1:400; Jackson ImmunoResearch Labora-

tories) was applied for 2 hours. The retina was mounted in an aqueous solution (GB-Mount; Golden Bridge Life Science, Mukilteo, WA).

In Vivo Retinal Ganglion Cell Morphometry

The CSLO images were exported to a computer for image analysis with a program written in MatLab R2007a (The MathWorks, Inc., Natick, MA). The program required manual identification of the dendritic branches and outlining of the cell body margin. Eight parameters were then automatically measured in the RGCs. These include (1) cell body area—the area bounded by the cell body contour; (2) axon diameter—the thickness of the axon segments nearest to the cell body; (3) dendritic field—the area bounded by connected line segments joining the ends of all the terminal dendritic branches; (4) the number of terminal branches—the total number of terminal dendritic ends; (5) total dendritic branch length—the sum of the lengths of all dendrites; (6) distance from the optic disc; (7) branching complexity (modified Sholl analysis)¹⁰—traverses of a series of concentric circles with increasing radii were drawn at the center of the cell body; and (8) symmetry. Branching complexity was measured as the mean of the function $N(r)$ representing the number of intersections of the skeletonized dendrites (N) for each of the concentric circles with radius (r). The mean of $N(r)$ was defined as dividing the definite integral of $N(r)$ by $(r_{\max} - r_{\min})$, where the interval (r_{\min}, r_{\max}) was chosen in which $N(r)$ was larger than 0 within the intervals. Symmetry was the distance between the cell body and the dendritic arbor centers of mass/dendritic arbor average radius. All measurements were performed by a

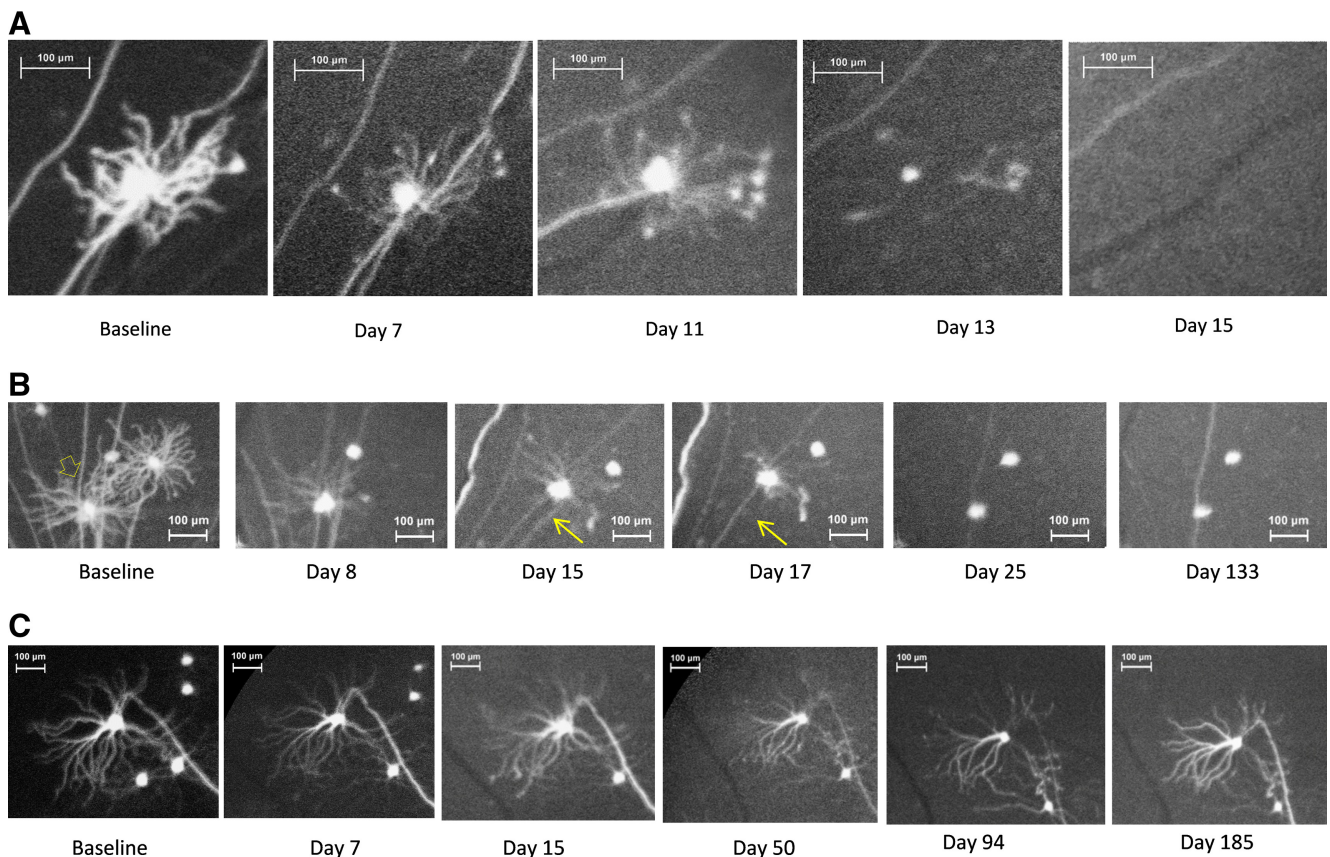
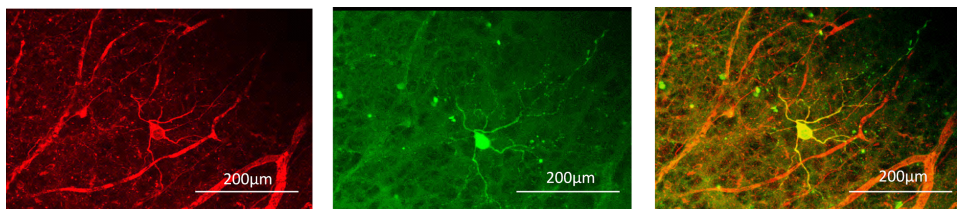


FIGURE 3. Long-term serial in vivo imaging of RGC degeneration after optic nerve crush. **(A)** Progressive dendritic shrinkage preceded the loss of the axon and the cell body. Loss of dendritic branches and reduction in dendritic field were evident 7 days after optic nerve crush. There was progressive dendritic shrinkage in the following week. The axon became indistinct on day 13, with complete loss of dendrites and cell body on day 15. **(B)** In another RGC (arrowhead), progressive dendritic shrinkage was observed from days 0 to 25 with loss of axon on day 17 (arrow). The cell body, however, persisted at the time of the last image, captured on day 133. The axon remaining on day 133 belonged to another RGC distant from the optic disc. **(C)** Despite progressive dendritic shrinkage and loss of dendritic branches, this RGC retained some dendritic features. The axons and the cell body were all preserved for more than 6 months.

FIGURE 4. Co-localization between YFP expression and anti-SMI-32 immunohistochemical staining in a degenerating RGC imaged by a confocal scanning laser microscope. The retina from a 6-month-old Thy-1 YFP transgenic mouse 12 months after optic nerve crush was dissected and immunolabeled with anti-SMI-32, a monoclonal antibody against nonphosphorylated neurofilament H. The secondary antibodies were conjugated with rhodamine red and the dendritic structures were visualized in red (*left*). The expression of YFP in the RGCs (*green; middle*) co-localized with anti-SMI-32 (*right*), suggesting that dendritic changes visualized through detection of fluorescent signal are not due to redistribution of cytoplasmic YFP.



single observer. Good measurement reliability was found in all eight parameters with intraclass correlation coefficients ranging between 0.928 and 0.998. Retinal image calibration was based on a schematic eye model for the C57BL/6J mouse derived by Remtulla and Hallett.¹¹ It was estimated that 1° of field is subtended by 30 μm of retina at $\lambda = 488$ nm.

Cluster Analysis

Cluster analysis was performed (SPSS, 16.0; SPSS Inc. Chicago), and the morphologic parameters that were not normally distributed were first transformed by taking the natural log. Parameters were then standardized by using the *z*-scores to minimize the effect of differences in measurement scales. Ward's method was used to define the sum of squared Euclidean distances between RGCs. The agglomeration coefficient was identified in a dendrogram to determine the optimal grouping in the classification (Supplementary Fig. S1, <http://www.iovs.org/lookup/suppl/doi:10.1167/iovs.10-6012/-DCSupplemental>).

Statistical Analysis

The survival function of the six RGC clusters was evaluated by the Kaplan-Meier estimator and compared with the log-rank test after animal stratification. Baseline morphologic parameters were analyzed with the Cox proportional hazards regression model to determine the predictors of RGC survival (total dendritic branch length and dendritic field were analyzed separately because of collinearity). As the survival

response of individual RGCs is unlikely to be independent within a mouse, a shared-frailty model was used to adjust for the effect of within-animal correlation. In the survival analysis, the RGC survival endpoint was defined as complete loss of fluorescent signal. A linear mixed model was used to estimate the rate of dendritic shrinkage. The model was fitted with fixed coefficients (fixed effects) on baseline dendritic field, age at the time of optic nerve crush, follow-up time, distance away from the optic disc, and the interaction between follow-up time and baseline dendritic field, and distance from the optic disc, with random intercepts and coefficients (random effects) at both the mouse and the eye levels (eye nested within mouse) for the effect of time. $P < 0.05$ indicated statistical significance (Stata, ver. 10.0; StataCorp., College Station TX).

RESULTS

In Vivo Imaging with the Confocal Scanning Laser Ophthalmoscope

The 488-nm pumped semiconductor laser in the CSLO was used to detect the fluorescent signal in the RGCs (488 nm excitation, 500 nm detection). The imaging procedure was performed with one technician gently holding the animal and another operating the CSLO (Supplementary Video S1, <http://www.iovs.org/lookup/suppl/doi:10.1167/iovs.10-6012/->

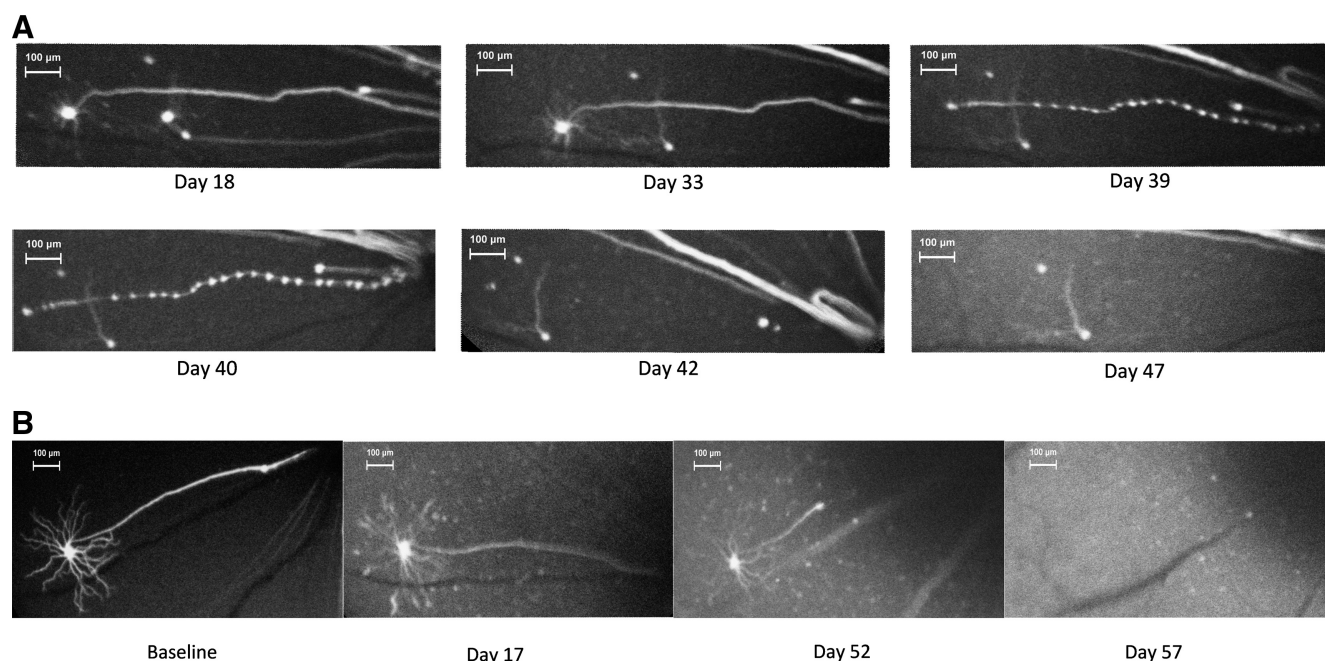


FIGURE 5. Progressive axonal changes in RGC degeneration. (A) Fragmentation and beading of axons with features compatible with Wallerian degeneration were detected in an RGC on day 39 and lasted for approximately 4 days (days 39–42) after the crush. (B) In another RGC, axonal retraction and bulb formation was found on day 52. On day 57, there was complete loss of dendrites, axon, and cell body.

DCSupplemental). Contact lenses were not required, and no topical or systemic anesthesia was applied. A retinal montage constructed from 12 image captures is shown in Figure 1A. Although the microglial and displaced amacrine cells may exhibit dendritic structures similar to the RGCs, the fluorescent cells can be unambiguously identified as RGCs, because the axons are clearly visible emerging from the cell bodies and converging toward the optic disc. It is notable that the dendritic and axonal structures may not be clearly visualized in all RGCs in the CSLO images, because the cell body, dendrites, and axons of an RGC may not lie on the same retinal plane. The CSLO is limited in imaging multiple focal planes with the same capture. In this study, only fluorescent cells with clear dendritic and axonal structures visualized *in vivo* were analyzed. The number and the branching of dendritic arborization imaged by the CSLO has direct correspondence with those observed in retinal whole mounts with a confocal scanning laser microscope (Fig. 1B), although the orientation and spatial arrangement of the dendritic trees appeared slightly different. This difference in appearance could be related to changes in tissue architectures during dissection and processing of the retina. The *in vivo* imaging technique provides real-time mor-

phometry of live RGCs, which is not possible with conventional histologic analysis.

In Vivo Morphometry and Classification of RGCs

A total of 125 RGCs from 16 retinas with clear axonal and dendritic structures visualized by the CSLO were analyzed. On average, 11 RGCs were analyzed in each mouse, and eight RGCs were analyzed in each eye. Cluster analysis identified six groups of RGCs (Fig. 1C) with reference to eight morphologic and anatomic parameters (cell body size, axonal diameter, dendritic field size, ending branch number, total dendritic branch length, symmetry, branching complexity [Sholl analysis], and distance from the optic disc; see the Methods section and Fig. 2). Group 4 RGCs generally had a large dendritic field ($148,678 \pm 36,853 \mu\text{m}^2$, mean \pm SD) and soma size ($3,180 \pm 940 \mu\text{m}^2$), long total dendritic branch length ($4,164 \pm 870 \mu\text{m}$), and a location distant from the optic disc ($2,020 \pm 426 \mu\text{m}$) compared with other cell groups. Although there is no consensus on the morphologic criteria for RGC classification, most classification systems have been based on measurement of dendritic field and soma size.^{12–17} With a large soma size and

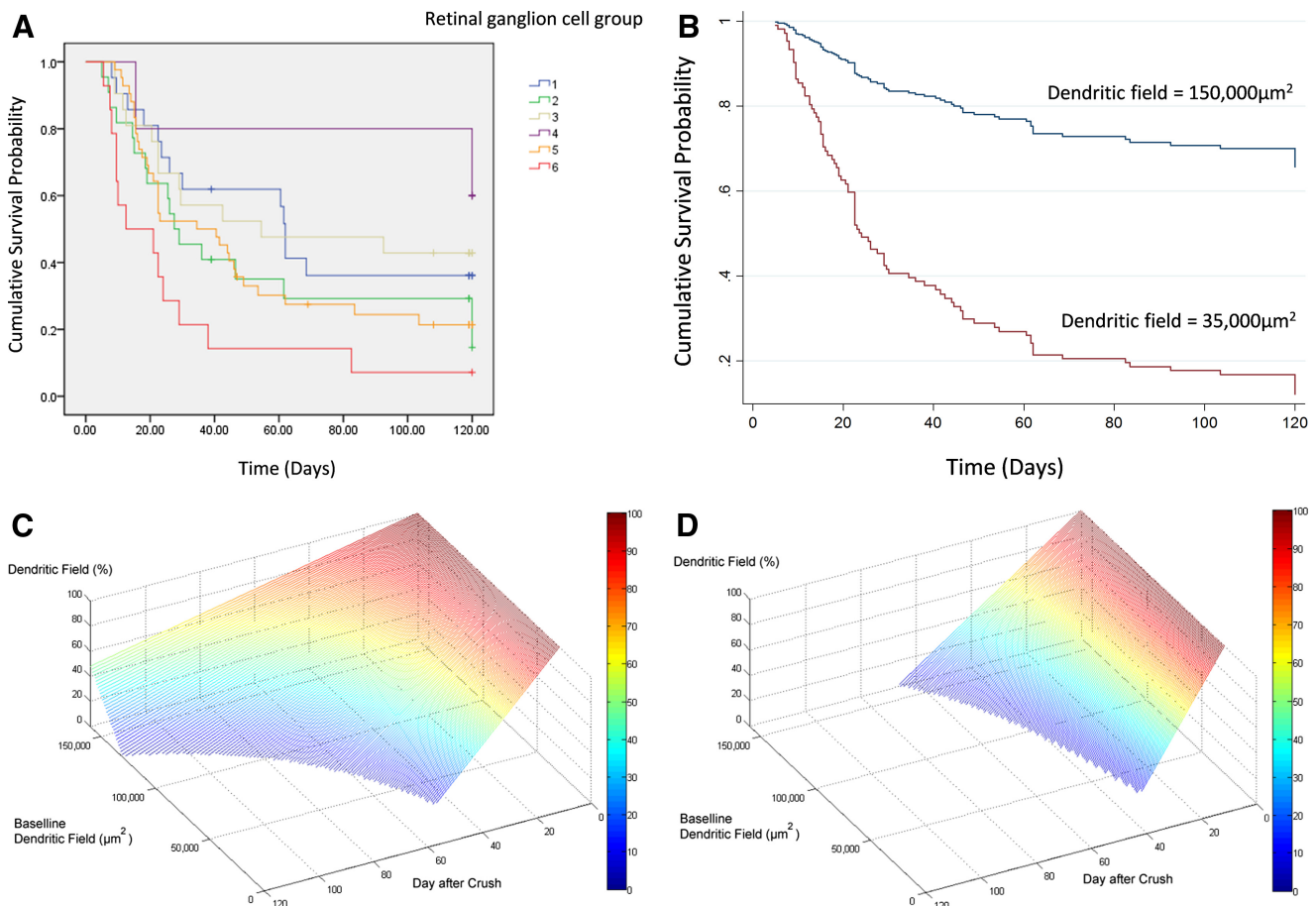


FIGURE 6. Estimation of survival probability and rate of dendritic shrinkage of RGCs after optic nerve crush. (A) Survival function of RGCs was analyzed by the Kaplan-Meier estimator. Group 4 RGCs had a higher survival probability than RGCs in groups 2, 5, and 6 (log-rank test stratified for mouse, $P = 0.038$). (B) A Cox regression analysis model with adjustment of cell body size, axonal diameter, ending branch number, symmetry, branching complexity (Sholl analysis), and distance from the optic disc estimates that RGCs with a dendritic field of $35,000 \mu\text{m}^2$ would have exponential decrease in survival probability after optic nerve crush. At 1 month after the crush, the estimated survival probability is 0.45. This is in contrast to RGCs with a larger dendritic field ($150,000 \mu\text{m}^2$) in which the survival probability is improved to 0.85. The survival endpoint of RGCs was defined as complete loss of fluorescent signal. The rate of dendritic field shrinkage after optic nerve crush was analyzed with a linear mixed model and plotted three dimensionally with respect to different baseline dendritic field and at $2000 \mu\text{m}$ (C) and $600 \mu\text{m}$ (D) from the optic disc. The baseline dendritic field was set in a range between $30,000$ and $160,000 \mu\text{m}^2$. The rate of dendritic shrinkage can be estimated from the z - x axes. In general, RGCs with a small dendritic field and located near the optic disc have an increased rate of dendritic shrinkage.

TABLE 1. Predictors of RGC Survival Probability Analyzed by a Cox Proportional Hazard Regression Model with Share Frailty

| Parameter | Hazard Ratio | Standard Error | P | 95% Confidence Interval | |
|--|--------------|----------------|-------|-------------------------|--------|
| | | | | Lower | Upper |
| Axon Diameter, μm | 0.995 | 0.040 | 0.906 | 0.922 | 1.074 |
| Symmetry | 2.675 | 2.144 | 0.220 | 0.556 | 12.879 |
| Distance from optic disc, per 100 μm | 0.940 | 0.021 | 0.006 | 0.899 | 0.982 |
| Cell body area, μm^2 | 1.000 | 0.0002 | 0.884 | 1.000 | 1.000 |
| Dendritic field, per 10,000 μm^2 | 0.869 | 0.046 | 0.009 | 0.783 | 0.965 |
| Total dendritic branch length, per 100 μm | 0.956 | 0.020 | 0.035 | 0.917 | 0.997 |
| Ending dendritic branch number | 0.990 | 0.036 | 0.792 | 0.922 | 1.064 |
| Sholl analysis | 1.033 | 0.093 | 0.718 | 0.866 | 1.233 |

* Total dendritic branch length and dendritic field were analyzed separately because of collinearity.

dendrites covering a wide area, group 4 RGCs have close resemblance to α -cells originally described by Boycott and Wässle¹² in cats. For RGCs in groups 5 and 6, the dendritic field ($37,285 \pm 15,562$ and $36,380 \pm 11,615 \mu\text{m}^2$, respectively) and the number of ending dendritic branches (17.3 ± 3.9 and 16.7 ± 3.4) were the smallest, the total dendritic branch length was the shortest ($1,608 \pm 532$ and $1,617 \pm 336 \mu\text{m}$), and the dendritic branching was the least complex. Group 6 RGCs had smaller soma size ($1,033 \pm 293 \mu\text{m}^2$) and were located closer to the optic disc ($731.1 \pm 364.9 \mu\text{m}$). These morphologic characteristics are similar to those reported in γ -cells.¹² The dendrites of β -cells ramify frequently and cover a relatively smaller area.¹² These features were found in group 1 RGCs in which they had a medium-sized dendritic field ($89,988 \pm 26,457 \mu\text{m}^2$), yet also had an increased number of ending branches (28.6 ± 4.7) and highly complex branching. The morphologic measurements were similar in groups 2 and 3 RGCs, except the axon diameter, dendritic field, and cell body size were generally larger for RGCs in group 3. Most RGCs selected in the analysis belonged to group 5 (33.6%) whereas group 4 RGCs were the least in number (4.0%; Fig. 1D).

Dendritic and Axonal Changes in Degenerating RGCs

To study the morphologic changes in degenerating RGCs, we performed optic nerve crush in 16 eyes of 12 mice. The retinas were serially imaged before optic nerve crush, every other day in the first 4 weeks and at least every week thereafter for 3 to 6 months. Most RGCs demonstrated progressive dendritic shrinkage followed by loss of the axons and the cell bodies ($n = 84$; 68.3%; Fig. 3A). Some RGCs had cell bodies detectable for more than 1 to 3 months in the absence of dendritic and axonal structures ($n = 9$, 7.3%; Fig. 3B). A significant propor-

tion of RGCs ($n = 26$, 21.1%) showed only partial loss of dendritic structures without loss of the axons and the cell bodies at 6 months after the injury (Fig. 3C). Immunohistochemical staining with anti-SMI-32 indicated that the dendritic shrinkage was not due to redistribution of cytoplasmic YFP (Fig. 4).

Progressive axonal changes were observed in only a few RGCs. In three (2.4%) RGCs, Wallerian degeneration with fragmentation and beading of the axon was observed after progressive dendritic shrinkage (Fig. 5A). In one (0.8%) RGC, the proximal axon showed retraction and bulb formation before cell death (Fig. 5B).

Morphologic Predictors of RGC Survival after Optic Nerve Injury

Survival analysis showed that group 4 RGCs had the best survival function and group 6 had the worst (Fig. 6A; the RGC survival endpoint was defined as complete loss of fluorescent signal). There was a significant difference in the survival function between RGCs in group 4 and RGCs in groups 1, 2, 5, and 6 (log-rank test stratified for mouse, $P = 0.038$). Among the eight morphologic parameters measured at baseline, the dendritic field, total dendritic branch length, and distance from the optic disc were independent predictors of RGC survival in the Cox regression analysis (all with $P \leq 0.035$; Table 1). Improved survival function was generally found in cells with a larger dendritic field, longer total dendritic branch length, and at a longer distance from the optic disc. The probability of survival was increased by 13.1%, 4.4%, and 6.0% for every 10,000- μm^2 increase in dendritic field, every 100- μm increase in total dendritic branch length, and every 100- μm increase in distance from the optic disc, respectively. Baseline cell body size, axon

TABLE 2. Estimation of Rate of Dendritic Shrinkage Using a Linear Mixed Model

| | Coefficient | Standard Error | P | 95% Confidence Interval | |
|---|-----------------------|-----------------------|--------|-------------------------|-----------------------|
| | | | | Lower | Upper |
| Age, d | 0.002 | 0.001 | 0.114 | -0.0006 | 0.005 |
| Time, d | -0.041 | 0.006 | <0.001 | -0.052 | -0.030 |
| Baseline dendritic field, μm^2 | 7.34×10^{-7} | 5.22×10^{-7} | 0.160 | -2.90×10^{-7} | 1.76×10^{-6} |
| Distance from optic disc, μm | 5.08×10^{-5} | 3.34×10^{-5} | 0.128 | -1.47×10^{-5} | 1.16×10^{-4} |
| Baseline dendritic field \times time | 1.34×10^{-7} | 5.20×10^{-8} | 0.010 | 3.22×10^{-8} | 2.36×10^{-7} |
| Distance from optic disc \times time | 7.60×10^{-6} | 3.29×10^{-6} | 0.021 | 1.15×10^{-6} | 1.40×10^{-5} |
| Constant | 0.465 | 0.172 | 0.007 | 0.127 | 0.802 |

Fixed coefficients (fixed effects) include baseline dendritic field, age at the time of optic nerve crush, follow-up time, distance away from the optic disc, and the interaction between baseline dendritic field/distance away from the optic disc and follow-up time, with random intercepts and coefficients (random effects) at both the mouse and the eye levels (eye nested within mouse) for the effect of time.

diameter, symmetry, dendritic branching complexity, and the number of ending dendritic branches had no association with RGC survival. It is possible to predict the survival probability of an individual RGC with reference to baseline morphologic measurements. Figure 6B compares the predicted survival probability of RGCs with a large ($150,000 \mu\text{m}^2$) and a small ($35,000 \mu\text{m}^2$) dendritic field after standardizing other morphologic measurements. At 1 month after optic nerve crush, the survival probabilities were 0.85 and 0.45, respectively.

Measuring the Rate of Dendritic Shrinkage

A linear mixed model was applied to estimate the rate of change of dendritic field of individual RGCs after optic nerve crush. A total of 673 serial dendritic field measurements from 122 RGCs were analyzed. Interaction terms between the time after the crush and the baseline dendritic field and the distance from the optic disc were included in the model to evaluate their influences on the rate of dendritic shrinkage. In agreement with the Cox proportional hazards regression analysis, the positive coefficient of the interaction terms (1.34×10^{-7} and 7.60×10^{-6} , respectively; Table 2) indicates that RGCs with a larger baseline dendritic field or at a longer distance from the optic disc had a slower rate of dendritic shrinkage. For example, the rate of dendritic shrinkage of an RGC with a dendritic field $10,000 \mu\text{m}^2$ and close to the optic nerve head ($600 \mu\text{m}$) would be -3.51% (-0.041 [coefficient of time in day] $\times 1 + 1.34 \times 10^{-7} \times 10,000 + 7.60 \times 10^{-6} \times 600$) per day. This result is in contrast to an RGC with a larger dendritic field ($150,000 \mu\text{m}^2$) at the same location in which the estimated rate of dendritic shrinkage is -1.63% per day. The rate of dendritic shrinkage in relation to baseline dendritic field for RGCs at 2000 and $600 \mu\text{m}$ away from the optic nerve head is modeled in Figures 6C and 6D, respectively. RGCs with large dendritic fields distant from the optic disc had the slowest rate of dendritic shrinkage after optic nerve crush.

DISCUSSION

Serial monitoring of cellular morphology has been a major challenge in studying RGC degeneration. Animal models designed for *in vivo* imaging almost always requires systemic anesthesia, which is undesirable for frequent, long-term assessment. In addition, clouding of the lens associated with systemic anesthesia could impair visualization of the fundus.¹⁸ Walsh and Quigley¹⁹ demonstrated the feasibility of *in vivo* imaging of dendritic processes of RGCs in anesthetized Thy1-YFP16Jrs transgenic mice with a modified confocal laser scanning microscope. However, dendritic arborization visualized in this model was not as distinct as fixed tissue imaging, and this limited the potential for quantitative morphologic analysis. Gray et al.²⁰ showed that it is possible to image the dendritic arborization in macaques by using an adaptive optics scanning laser ophthalmoscope with retrograde labeling. However, the labeling with rhodamine is transitory. Long-term *in vivo* analysis of dendritic damage in neuronal degeneration has not been performed.

The key advantage of this real-time CSLO imaging is that it provides an efficient and noninvasive approach for capturing serial retinal images at the same location over months. In particular, there is consistently high image quality that allows detailed morphologic analysis and classification of RGCs, monitoring of axonal and dendritic changes, evaluation of survival probability, and measurement of the rate of dendritic shrinkage. Imaging is performed with gentle holding of the animal without using any local or systemic anesthetic agent, which

may compromise animal survival, particularly when serial capture is warranted. The feasibility of long-term monitoring of dendritic and axonal changes for more than 6 months has been demonstrated in this study (Fig. 3C). Of note, the YFP-expressing RGCs most likely represent a random sample of the retina, as it has been shown that the expression has no predilection for specific subtypes of RGCs.¹⁶

The confocal imaging technique, however, is limited in evaluating the dendritic stratification in cross section. It is not yet possible to identify the dendritic spines with the current instrumentation. This information was not available for the cluster analysis, thus, limiting finer classification. Nevertheless, it is evident from the Kaplan-Meier analysis that the current morphologic classification is related to RGC survival (Fig. 6A). In fact, we are able to predict the survival probability and estimate the rate of dendritic shrinkage after optic nerve crush on the basis of the size of the dendritic field and the distance from the optic disc measured at baseline. To our knowledge, this is the first study to measure and model progressive dendritic shrinkage *in vivo*. We showed that RGC degeneration began with the dendritic trees, followed by loss of the axons and finally the cell bodies (Fig. 3). The rate and the degree of dendritic shrinkage, however, were variable among individual neurons. RGCs with larger dendritic fields showed a higher survival probability and a slower rate of dendritic shrinkage. Notably, although the procedure of optic nerve crush had been standardized by performing the crush at a site approximately 1 mm posterior to the globe and clamping for 2 to 3 seconds, it is possible that the variable rate and degree of dendritic shrinkage was also related to minute variation in the position, duration, and clamping pressure during the procedure.

Progressive axonal changes were observed in only a small proportion of RGCs, suggesting that axonal degeneration is a relatively rapid process. Although mice were not imaged immediately after optic nerve crush, no detectable changes were evident at day 1 after the procedure, compared with the baseline. It has been proposed that focal axonal lesions induce Wallerian degeneration of distal axons, whereas the proximal axons die back.²¹ In Thy-1 GFP-S transgenic mice, Kerschensteiner et al.¹ showed that spinal cord axons underwent acute axonal degeneration with rapid retraction of proximal axon stumps within 30 minutes after transection, followed by Wallerian degeneration of distal axons after 30 hours. In this study, we found that both Wallerian degeneration (Fig. 5A) and axonal retraction (Fig. 5B) could take place in proximal axons. These effects could occur even at 1 month after optic nerve crush. While the mechanism triggering the axonal degeneration remains to be elucidated, a common molecular pathway involving Wallerian degeneration slow (WLD-S) has been suggested for Wallerian degeneration and axonal retraction.^{1,22} The delayed axonal degeneration in the RGCs implies a potential therapeutic window after optic nerve injury. In some RGCs, the cell bodies survived despite the absence of detectable axons and dendritic arborization (Fig. 3B). Restoring axonal and dendritic structures would be a key area for research on neuronal regeneration.

It has been demonstrated that axon degeneration precedes cell death in neurodegenerative diseases.^{23,24} We found that dendritic shrinkage could be evident even before axon degeneration and could thus serve as an early sign for neuronal damage. The ability to visualize the changes in dendritic arborization and measure the rate of dendritic shrinkage provides a new paradigm for the study of the mechanism of neurodegeneration and may provide new insights into testing novel therapies for neuroprotection.

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