In Vivo Retinal Nerve Fiber Layer Thickness Measured by Optical Coherence Tomography Predicts Visual Recovery after Surgery for Parachiasmal Tumors

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Purpose. Restoration of visual function after neurosurgery for parachiasmal tumors is variable and unpredictable. The current study was conducted to determine whether in vivo retinal nerve fiber layer (RNFL) thickness measurements predict the visual recovery of such patients.

Methods. Forty patients undergoing surgical resection of parachiasmal lesions were prospectively assessed before surgery with a neuro-ophthalmic examination, involving standard automated visual field (VF) testing and optical coherence tomography (OCT) measurements of RNFL thickness, which was the prespecified marker for axonal loss. Tests were repeated within 6 weeks after surgery.

Results. Thinner preoperative RNFL thickness was associated with worse visual acuity (VA) and VF mean deviation (MD). Patients with normal preoperative RNFL had significant improvement in mean VA after surgery, from 20/40 to 20/25 (P = 0.028), whereas patients with thin RNFL did not improve (20/80 to 20/60, P = 0.177). Eyes with normal RNFL showed improvement in MD (−7.0 dB before surgery, −3.5 dB after surgery, P = 0.0007) unlike eyes with thin RNFLs, which had no significant improvement after surgery (−15.3 dB before and −13.3 dB after surgery, P = 0.191). RNFL thickness increased by 1% after surgery among all eyes (P = 0.04). Eyes with severe VF defects (MD ≤ -10 dB) but normal preoperative RNFL thickness showed a postoperative improvement in MD of 14.6 dB compared with 1.6 dB (P < 0.0001) in eyes with thin RNFL before surgery, despite no difference in MD before surgery (normal RNFL MD, −22.3 dB; thin RNFL MD, −20.8 dB; P = 0.7).

Conclusions. Patients who have objectively measurable RNFL loss at the time of surgery for chiasmal compressive lesions are less likely to have return of VA or VF after surgery. (Invest Ophthalmol Vis Sci. 2008;49:1879–1885) DOI:10.1167/iovs.07-1127

Optic nerve compression by mass lesions at the optic chiasm produces loss of visual acuity (VA), color vision, and visual field (VF) defects. Although it is well recognized that visual improvement may occur after surgical excision of a parachiasmal lesion, this recovery is variable and unpredictable.1,10 Previous reports have evaluated a variety of candidate measures, including duration of symptoms, size of tumor, preoperative VA and visual field, and optic nerve head appearance. However, studies have shown conflicting results. Some studies have identified as predictive such parameters as age,6–8 preoperative VA,9 or visual field loss.6–8 Others have demonstrated no correlation of these variables (age, preoperative VA,6–8 or visual field loss9) with recovery. Other investigators have considered the length of a patients’ visual symptoms as important information to determine recovery,9 yet this also been shown to be unreliable.5,6,8 Studies investigating tumor size and morphologic appearance have also shown conflicting results.5,7 Optic atrophy has been reported by some investigators to correlate with degree of recovery,7 but the grading and reporting of optic nerve pallor is subjective.9 Hence, presently, there is no reliable predictor of recovery. Methods that would predict the visual outcome of surgery would be welcome both to tailor management strategies regarding the timing and necessity of surgery and to counsel patients on their likely ultimate visual function.

As failure of recovery is a consequence of irreversible damage to retinal ganglion cell axons (RGCs), the type of measurement that is needed would identify anatomic alteration or dysfunction in RGCs or their axons caused by the chiasmal disorder.11 Optical coherence tomography (OCT) was developed to quantify the thickness of the retinal nerve fiber layer (RNFL) around the optic nerve head and the whole retinal thickness in vivo by performing cross-sectional imaging of internal tissue microstructure by measuring the echo time delay of back-scattered infrared light using an interferometer and a low-coherence light source. The chief clinical application of OCT to date has been in examination of retinal disorders. However, recently, RNFL thinning measured by OCT has been shown to be topographically related in both location and severity to decreases in visual field sensitivity in compressive optic neuropathies, suggesting that OCT provides an objective measure of RGC axonal presence in normal eyes and their loss or other alteration in eyes with chiasmal compression.12 These findings stimulated the hypothesis that the presence of axonal loss quantified by RNFL measurement would be associated with a diminished chance for visual recovery in persons with parachiasmal lesions after surgical intervention. The purpose of the present study was to assess prospectively the relation between the degree of axonal loss before surgery and visual function recovery after surgery, using RNFL measurement by OCT as the prespecified marker of axonal loss.
Methods

Patients
Forty consecutive patients with chiasmal compression syndromes were prospectively recruited from the Neuro-ophthalmology and Neurosurgery Departments of the University of Auckland, New Zealand, and the Wills Eye Institute, Philadelphia, Pennsylvania. The research adhered to the tenets of the Declaration of Helsinki. The institutional review committees had approved the research and informed consent had been obtained. Exclusion criteria comprised unreliable VF testing (>33% false positives, or false negatives, or fixation losses), any anterior segment, retinal, posterior segment, or optic nerve disease other than compressive optic neuropathy, including known glaucoma, cup-to-disc ratio asymmetry of greater than 0.2, focal notching, or optic nerve hemorrhage. In each patient, the presence of a chiasmal lesion was confirmed by magnetic resonance imaging (MRI). Patients were excluded from the study if they had undergone any previous radiotherapy or medical treatment. In the preoperative assessment, all patients underwent testing of VA, refraction, slit lamp biomicroscopy, application intraocular pressure measurement, stereoscopic fundus evaluation, VF testing with a perimeter (Humphrey Visual Field Analyzer 2 [HFA2], 24-2 program, SITA Standard; Carl Zeiss Meditec; Dublin, CA), and OCT Fast RNFL scan. After surgery, repeated measurements of VA, color vision testing with Ishihara plates, intraocular pressure measurement, slit lamp biomicroscopy, fundus examination, OCT RNFL measurement, and VF testing were undertaken. Preoperative assessments were performed up to 2 months before surgery, and postoperative visits occurred up to 6 weeks after surgery.

Five patients did not meet the entrance criteria to the study, four due to inability to undergo VF testing, either before or after surgery, and one because of not meeting the study’s time frames for the preoperative assessment. Of the remaining 70 eyes (of 35 patients), 7 were excluded from this analysis due to unreliable VFs at either the pre- or postoperative assessment.

Optical Coherence Tomography
Quantitative RNFL measurements were obtained using the Stratus OCT (Carl Zeiss-Meditec, Inc.) instrument with software version 3.0.1. The optical principles and applications of the OCT have been described in detail elsewhere.12 The software provides RNFL thickness for each clock hour position and for each quadrant of the optic nerve head. The average RNFL thickness for the entire circumference around the optic disc, in the mean of three scans at a diameter of 3.4 mm was obtained for each eye. We included only RNFL scans that were evaluated as “good,” as judged by the appearance of the RNFL pictures. The OCT is a noninvasive and rapid test, with the time for image acquisition being less than a second.

For some portions of the analysis, we stratified the eyes of our patients into two groups, those classified as having a normal nerve, and those who had a thin nerve. Eyes in the thin-nerve group had average preoperative RNFL thicknesses that were thinner than 97.5% of normal values derived from an age-matched normative database (data from Carl Zeiss Meditec, Inc.). Eyes in the normal nerve group had RNFL values within the 97.5 percentile of normative values. This cutoff was chosen to take into account the broad range of normal RNFL thicknesses.

Automated Perimetry
Automated perimetry was conducted using the 24-2 Swedish Interactive Threshold Algorithm (SITA) on the HFA2 instrument (Carl Zeiss Meditec, Inc.) with a Goldmann size III stimulus on a 51.5-apostilb background.

Statistical Analysis
All data on RNFL thicknesses are presented as the mean ± SD. Pearson’s correlation coefficients and linear regression analysis were conducted to correlate OCT-measured RNFL thickness (in micrometers) in the thin and normal nerve groups to corresponding VF sectors before surgery and at the postoperative visit. The eyes were stratified into thin and normal nerve groups based on their RNFL thickness. For linear and multiple regression analyses, RNFL thickness was treated as a parametric variable, as it obeyed continuous normal distribution. Normality of the distribution was tested with Shapiro-Wilk statistics. VF sensitivity was treated as the dependent variable and RNFL thickness as the independent variable in all regressions assessing the relationship between VF sensitivity and RNFL thickness. Evidence of nonlinearity was assessed using a significance level of 0.05. Differences between thin and normal nerve groups are reported from two sample Students t-tests. General estimating equations (GEEs; with the GENMOD procedure of SAD) were used to take into account the correlation between eyes in the same subject13 (since two eyes of the same individual have optic disc measures that are more similar than those of unrelated persons). Analyses were conducted with commercial software (SAS, ver. 9.1; SAS Institute Inc., Cary, NC). Another program (Prism, ver. 4.2; Graphpad, Inc., San Diego, CA) was used to calculate the area under the receiver operating characteristic (ROC) function. All tests were two-tailed and P < 0.05 was considered statistically significant.

Results

Baseline Preoperative Data
The average age of patients was 45 years (SD ± 16; range, 18–77) with an equal number of men (49%) and women (51%). The majority of the patients (27 patients, 49 eyes) had a diagnosis of pituitary adenoma (77%). The remaining 23% had cystic lesions (three patients, six eyes), meningioma (two patients, four eyes), and craniopharyngioma (one patient, one eye), an extrinsic granulomatous mass with histology consistent with neurosarcoïdosis (one patient, two eyes), and paraclinoid aneurysm (one patient, one eye). Indications for surgery included: impaired visual function (VA, color vision, or VF abnormalities) for 30 patients, and endocrinological reasons in 5 patients. Preoperative mean best-corrected VA (BCVA) was 20/50 (range, 20/16 to hand movements). A mean of 76% of Ishihara color plates were identified correctly before surgery (SD ± 37.2%; range, 0%–100%). Mean ± SD MD on VF testing was −9.6 ± 9.8 (range, −34.1 to 0.6) and mean pattern standard deviation (PSD) was 6.1 ± 4.9 (range, 1.1 to 16.8). The mean ± SD preoperative RNFL thickness was 89.7 ± 20.1 μm (range, 38.0 to 124.8 μm) among all eyes. Forty-three (68%) eyes met the RNFL criteria for normal, and 20 (32%) eyes for the thin group.

Thin preoperative RNFL thickness was associated with worse VA and VF MD (Table 1). Eyes classified as having normal RNFL identified significantly more Ishihara color plates correctly than did the eyes in the thin-RNFL group (83.0% vs. 65.7%; P = 0.036; Table 1). In addition, eyes with normal RNFL thickness at baseline had less severe VF defects before surgery (MD, −7.0 dB vs. −15.3 dB, P = 0.01).

Change in Visual Function after Surgical Decompression
Eyes with normal RNFL measurement showed a significant improvement in VA, from a mean of 20/40 to 20/25 after surgery (P = 0.028; Table 2), whereas eyes with thin RNFL did not improve significantly (20/80 to 20/60; P = 0.177). Eyes with normal RNFL, showed an improvement in MD from −7.0 dB before surgery to −3.5 dB after surgery (P = 0.0007). There was no significant improvement in MD between baseline assessment (MD, −15.3 dB) and postoperative visit (MD, −13.3 dB, P = 0.191) in eyes with thin RNFLs. The RNFL layer increased by 5.5% (P = 0.056) in eyes with thin RNFLs and by 1% in all eyes (P = 0.04, Table 2).
Patients were evaluated to determine whether RNFL predicted the likelihood of recovery of visual function. Ninety-seven percent of eyes with normal RNFLs achieved VA of 20/40 or better, compared with 72% with thin RNFLs ($P = 0.02$; odds ratio, 11.5; 95% CI, 1.2–108.8). Similarly, 94% of eyes with normal RNFLs achieved VA of 20/30 compared with 78% of eyes with thin RNFL ($P = 0.039$; odds ratio, 7.5; 95% CI, 1.3–41.1). Likewise, eyes with normal RNFLs had significantly improved VF, with 71% improving to final MD of $-3.5$ dB or better, compared with 28% of eyes with thin RNFL ($P = 0.007$; odd ratio, 6.4; 95% CI, 1.7–23.1). Fifty-five percent of eyes with normal RNFL compared to 22% of those with thin RNFL ($P = 0.035$) achieved an MD of $-2.0$ dB by 6 weeks after surgery.

**Relationship of RNFL with Visual Function in Eyes with Severe Visual Field Defects**

Table 1 shows a clear cutoff between preoperative average RNFL thickness in the thin group and normal group between values of 75 and 80 μm. There were 19 eyes with a baseline MD $\leq -10$ dB (moderate to severe VF defects); of those eyes with an average RNFL thickness $>80$ μm, all had a $>10$-dB change in MD from before to after surgery. Eyes with significant VF defects (MD worse or equal to $-10$ dB) but normal RNFL thickness before surgery showed a mean postoperative improvement in MD of 14.6 ± 3.1 dB (SD) compared with those with MD worse or equal to $-10$ dB and thin RNFL measurement, who improved on average by only 1.6 ± 14.7 dB ($P < 0.0001$) despite no difference in MD at their baseline visit (normal RNFL MD, $-22.3$ dB; thin RNFL MD, $-20.8$ dB; $P = 0.7$, Fig. 1). We compared the difference in RNFL thickness among the eyes with baseline MD of worse than or equal to $-10$ dB between eyes that showed a mild improvement in VF recovery (MD improving by $\geq 2$ dB) and those with a dramatic improvement (MD improving by $\geq 10$ dB) from the preoperative baseline. Eyes that improved 10 dB or more had a mean ± SD RNFL thickness of 105.2 ± 16.5 μm, compared with a mean RNFL thickness of 61.7 ± 13.1 μm ($P < 0.0001$) in eyes that did not improve by this criterion (Fig. 2B). There was a similar significantly thicker RNFL in eyes that improved by 2 dB or more, compared with those that did not (Fig. 2A).

Linear regression lines comparing change in VA and MD after surgery demonstrate that patients with poor VA or MD but with normal RNFL before surgery have a significantly greater recovery of vision than those with the same preoperative level of visual function but thin RNFL with the difference in recovery slope being significantly different from 0 in those with normal RNFL but not in those with thin RNFL (Fig. 3). Linear regression analysis of the eyes in the thin- and normal-nerve groups that reached near-normal VF after surgery (final MD of $-2$ dB or better) showed that the eyes with thicker RNFL are more likely to achieve this final outcome up until the RNFL thickness of approximately 85 μm, after which there was no added benefit of having a thicker RNFL.

ROC curve analysis demonstrates that RNFL thickness is an excellent predictor for recovery of BCVA to 20/40 or better (area under the curve of 0.89, $P = 0.002$) and for dramatic improvement in MD by 10 dB or more (area under the curve of 0.78, $P = 0.013$) in the immediate postoperative period (Fig. 4). The two independent predictors of MD at postoperative assessment (up to 6 weeks after surgery) in the eyes with severe VF defect at the baseline (MD of $-10$ dB or worse) were average RNFL thickness in the inferior quadrant of the disc at baseline ($P = 0.0001$) and MD at baseline ($P = 0.0024$). Examination of partial $R^2$ values revealed that average RNFL thickness in the inferior quadrant of the disc at baseline explained 61% of the variance in the MD at postoperative assessment and the MD at baseline explained further 18%.

**DISCUSSION**

Although it is well established that recovery of visual function occurs in a significant proportion of patients after surgery for chiasmal compressive lesions, it is also recognized that this recovery is highly variable.2–10 Prognostic indicators for potential improvement in function after surgery for parachiasmal...
The present study is the first to establish a clinical marker that correlates strongly with the degree of visual recovery after surgical intervention in patients with significant visual loss as a result of chiasmal compression. The degree of reversibility of visual dysfunction with compression of the anterior visual pathway is related to the loss of RNFL thickness, as measured by the OCT. In patients with normal RNFL thickness, visual function shows large improvements in the presence of advanced preoperative VF or acuity loss, whereas patients with thin RNFL and advanced VF defect demonstrate significantly less improvement. The study demonstrated that there is an increasing probability of improvement to near normal visual function (VA better than 20/40 or mean deviation within 2 dB of normal) with increasing RNFL thickness up to approximately 85μm, after which there is no further improvement in visual function.

Other objective tests have been investigated as predictors of visual outcome after removal of parachiasmal lesions. Both the

### Table 2. Change in Visual Function and OCT Parameters from Preoperative to Postoperative Assessment for the Normal and Thin Nerve Groups

<table>
<thead>
<tr>
<th></th>
<th>Pre-op Assessment</th>
<th>Post-op Assessment</th>
<th>$P$</th>
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<tbody>
<tr>
<td><strong>BCVA</strong></td>
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<tr>
<td>Normal</td>
<td>20/40</td>
<td>20/25</td>
<td>0.028</td>
</tr>
<tr>
<td>Thin</td>
<td>20/80</td>
<td>20/60</td>
<td>0.177</td>
</tr>
<tr>
<td>All</td>
<td>20/50</td>
<td>20/30</td>
<td>0.01</td>
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<tr>
<td><strong>Ishihara plates (%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Normal</td>
<td>82.9 (33.7)</td>
<td>87.3 (29.1)</td>
<td>0.122</td>
</tr>
<tr>
<td>Thin</td>
<td>64.7 (41.6)</td>
<td>67.0 (44.1)</td>
<td>0.717</td>
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<tr>
<td>All</td>
<td>76.8 (37.2)</td>
<td>79.5 (36.6)</td>
<td>0.114</td>
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<tr>
<td><strong>Visual fields MD (dB)</strong></td>
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<td></td>
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</tr>
<tr>
<td>Normal</td>
<td>-7.0 (8.4)</td>
<td>-3.5 (5.2)</td>
<td>0.0007</td>
</tr>
<tr>
<td>Thin</td>
<td>-15.3 (10.5)</td>
<td>-13.3 (10.6)</td>
<td>0.191</td>
</tr>
<tr>
<td>All</td>
<td>-9.6 (9.8)</td>
<td>-7.1 (8.9)</td>
<td>0.0003</td>
</tr>
<tr>
<td><strong>Average RNFL thickness (μm)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Normal</td>
<td>100.4 (12.9)</td>
<td>101.8 (12.7)</td>
<td>0.280</td>
</tr>
<tr>
<td>Thin</td>
<td>66.8 (12.2)</td>
<td>70.5 (14.7)</td>
<td>0.056</td>
</tr>
<tr>
<td>All</td>
<td>89.7 (20.1)</td>
<td>90.3 (20.2)</td>
<td>0.040</td>
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Data in parentheses are the SD and in brackets are the range.

![Figure 1](http://iovs.arvojournals.org/pdfaccess.ashx?url=/data/journals/iovs/932950/) Comparison of the magnitude of MD change from pre- to postoperative assessment in the thin group and normal group among the eyes with baseline MD $\geq -10$ dB.
amplitude and the velocity of visually evoked potential (VEP) are decreased in compressive optic neuropathies. Even patients with profound decreases in VEP may show complete recovery of VEP within minutes of decompression, suggesting that one mechanism for the depressed VEP is nerve conduction blockade, which is relieved by surgical intervention.\(^{16-18}\) However, VEP data share with VF findings the limitation that they denote functional loss that is reversible, rather than providing prognostic value. They do not differentiate between decrease in visual function due to reversible factors, such as conduction block, and irreversible factors, such as actual retrograde RGC degeneration.\(^{19-22}\) In addition, delays in latency in the VEP recording may occur in retinal dysfunction and cannot be considered pathognomonic of optic nerve disease.\(^{23}\) The pattern electroretinogram (PERG), another objective test of retinal function, originates from the inner retinal layers and provides an assessment of RGC function.\(^{24-27}\) Like the VEP, it requires complex equipment, electrodes that are placed on the eye, and a relatively time-consuming test procedure. Reports have suggested that an abnormal PERG correlates with lack of postoperative recovery.\(^{28}\) However, although the chance of VF improvement after surgery is greater in those eyes with a normal PERG, 34% of such eyes showed deterioration or no change in VF, indicating that PERG was not able to predict VA recovery.

The potential for recovery of visual dysfunction caused by compressive lesions of the anterior visual pathway may depend on the degree and duration of axonal injury.\(^{14,24,25,29}\) There are several underlying pathophysiologic mechanisms that contribute to the visual dysfunction but are not associated with significant axonopathy and are potentially reversible. Conduction block, which may be due to direct compression or ionic concentrations insufficient to support saltatory conduction, is reversible within minutes to hours with removal of the compressive lesion.\(^{5,30}\) Restoration of axoplasmic transport and remyelination are processes that take longer to occur and may be associated with the continued recovery over weeks to months.\(^{1,31-34}\)

However, some patients with relatively normal RNFL do not recover normal VA or VF function, perhaps due to incomplete remyelination. Experimental chronic compression of the optic nerve in cats demonstrated evidence of demyelination within a week and subsequent remyelination, though the reconstructed myelin sheaths were thinner than normal, with reduced intranodal distances.\(^{35}\) Even at 18 months after spinal cord compression, remyelinated fibers exhibited inappropriately thin myelin sheaths.\(^{35}\) Hence, although such remyelination may be associated with restored conduction of action potentials and recovery of spatial vision it remains unknown whether remyelination is capable of restoring the ability of previously demyelinated central fibers to impulse at physiological frequencies.\(^{33,34}\) A further possible explanation is that the time frame of our postoperative visit (up to 6 weeks) was too short to capture recovery and that these patients may show slower recovery of visual function because of more severe preoperative visual dysfunction. It has been demonstrated in a cat model that the percentage of nerve fibers lost from the retinogeniculate visual pathways determined the length of time required for visual recovery. Cats that lost many fibers required a long time to recover.\(^{33}\) Alternatively, surgical trauma may result in damage to the axons and influence visual recovery, which clearly would have not been detected by the preoperative RNFL measurement. Finally, some eyes may have intact RNFL at the retinal level, but damage that had already occurred at the level of the chiasm leads to subsequent RGC death, precluding improvement in function.
One interesting finding in our data was a very modest increase in RNFL thickness after surgery in eyes overall, which was of greater magnitude in those with thin RNFL than in normal RNFL eyes. It may be that RGC whose axons are injured at the chiasmal area undergo an initial phase of reversible injury before axon and cell body loss that involves individual axon thinning. After removal of the chiasmal lesions, the slight thickening of the RNFL may result from restoration of normal axon diameter in these RGCs. If confirmed by future studies, this indicates that we have identified that there is anatomic reversible injury that parallels the functional reversibility in chiasmal compression. The advent of highly objective measurements of RNFL thickness by OCT instrumentation may provide further insights into subtle, reversible changes in RGC axons that have been invisible to clinical evaluation in the past.

Finally, in this study 15% of our patients had normal VF test results and thin RNFLs. This may be analogous to the well-known preperimetric glaucoma. The present study is the first to suggest that damage to the anterior visual pathway may occur before identifiable VF loss in patients with compressive optic neuropathy (preperimetric compressive optic neuropathy) and requires further study to detail the nature of this finding.

This study has several limitations. Because compression of the anterior visual pathway often impairs VA, poor fixation by these patients could impair the reliability of VF testing. However, we used strict reliability criteria and excluded unreliable VPs to minimize this effect. Second, there is inherent variability in the OCT RNFL measurement, with a broad range of findings among normal persons. In part this is due to instrument error and in part to the high variability in the number of RGCs among eyes. The reproducibility of Stratus OCT measurements recently has been demonstrated using intraclass correlation, with coefficients for standard density scanning of 83% for mean RNFL thickness. We performed three good-quality OCT scans at each visit with the average of the three being used in the analysis. Third, our study included a variety of chiasmal disorders, with the majority having pituitary adenomas. Hence, the findings are particularly applicable to this cohort of patients. In addition, some of the improvement in VF after surgery may be attributable to the learning curve. Finally, the study involved a comparison of preoperative to postoperative recovery up to 6 weeks, whereas visual function is known to continue to improve for months and up to several years. Long-term follow-up of these patients will provide a greater understanding of the relationship between RNFL thickness and final visual outcome.

In conclusion, RNFL thickness provides a quantitative measure of the amount of viable axonal tissue and is a novel preoperative marker that aids in predicting the degree of visual recovery in patients with chiasmal compression after a decompression procedure. The benefit in having such a clinical biomarker that is rapid, noninvasive, and convenient to measure is its usefulness in planning of management strategies including the timing of surgery, counseling patients on prognosis, and potentially monitoring disease progression. Further investigation is warranted to corroborate these preliminary findings in larger studies and in wider a range of underlying diseases.

**References**


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