Set-Based Joint Test of Interaction Between SNPs in the VEGF Pathway and Exogenous Estrogen Finds Association With Age-Related Macular Degeneration


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Objective. Age-related macular degeneration (AMD) is the leading cause of irreversible visual loss in developed countries. Its etiology includes genetic and environmental factors. Although VEGFA variants are associated with AMD, the joint action of variants within the VEGF pathway and their interaction with nongenetic factors have not been investigated.

Methods. Affymetrix 6.0 chipsets were used to genotype 668,238 single nucleotide polymorphisms (SNPs) in 1207 AMD cases and 686 controls. Environmental exposures were collected by questionnaire. A set-based test was conducted using the $\chi^2$ statistic at each SNP derived from Kraft’s two degree of freedom (2df) joint test. Pathway- and gene-based test statistics were calculated as the mean of all independent SNP statistics. Phenotype labels were permuted 10,000 times to generate an empirical $P$ value.

Results. While a main effect of the VEGF pathway was not identified, the pathway was associated with neovascular AMD in women when accounting for birth control pill (BCP) use ($P = 0.017$). Analysis of VEGF’s subpathways showed that SNPs in the proliferation subpathway were associated with neovascular AMD ($P = 0.029$) when accounting for BCP use. Nominally significant genes within this subpathway were also observed. Stratification by BCP use revealed novel significant genetic effects in women who had taken BCPs.

Conclusions. These results illustrate that some AMD genetic risk factors may be revealed only when complex relationships among risk factors are considered. This shows the utility of exploring pathways of previously associated genes to find novel effects. It also demonstrates the importance of incorporating environmental exposures in tests of genetic association at the SNP gene, or pathway level.

Keywords: age-related macular degeneration, case-control study, epidemiology, statistics, candidate genes

Age-related macular degeneration (AMD) is the leading cause of irreversible visual loss among older adults in developed countries. It has a multifactorial etiology that has yet to be completely elucidated but comprises both genetic and environmental causes. Established genetic risk factors are common variants in CFH-$^6$ and ARMS2/HTRA1.$^7,8$ Additional linkage analysis, candidate gene, and genome-wide association studies (GWAS) have identified numerous other potential genes and pathways that are associated with AMD.

Other nongenetic AMD risk factors include age, female sex, European ancestry, hypertension, and obesity.$^9$ Smoking is the most replicated modifiable risk factor (relative risk [RR] = 2).$^{10,11}$ Smoking may modulate the effects of variants on risk of AMD in several genes including NOX2$^{12}$ and ARMS2.$^{13}$ In contrast, one of the few protective factors identified for AMD is exogenous estrogen. Females who have used exogenous estrogen in the form of birth control pills (BCPs) or hormone replacement therapy (HRT) have a reported 50% reduction in risk for the disease compared to those who have never taken exogenous estrogens.$^{14}$ Recently, effect modification via HRT use and ARMS2 variants has also been inversely associated with AMD.$^{15}$

Up to 65% of the genetic contribution to AMD risk may be explained by known factors.$^{16}$ A source of unexplained risk is likely to be gene–gene and gene–environment interactions, which are understudied in AMD. One way to assess the joint effects of multiple variants within a gene or biological pathway is to use a gene-set approach; such methods can have more power to detect genetic risk factors than analysis of individual single nucleotide polymorphisms (SNPs).$^{17}$ These methods allow detection of genes or pathways in which multiple variants are weakly associated with disease individually, but...
more strongly associated when considered together.\textsuperscript{18} Similarly, accounting for the joint effects of individual SNPs and an interacting environmental factor can increase the power to detect genetic risk factors.\textsuperscript{19} However, limited research has been conducted on the best way to incorporate such environmental factors into pathway analysis or on whether including gene–environment associations improves the power of pathway analyses.

In this study, an existing set-based pathway analysis method was modified to include genetic main effects and gene–environment interactions in a self-contained pathway test. Self-contained tests are preferred for testing the hypothesis that a specific pathway (or a small set of pathways) is associated with a phenotype,\textsuperscript{17} and the set-test implemented in PLINK (available in the public domain at http://pngu.mgh.harvard.edu/purcell/plink/), based on the average of individual test statistics across all variants in the set, is one of the most powerful and flexible self-contained pathway tests available.\textsuperscript{20} The method used here is an extension of PLINK’s set-test that uses the $\chi^2$ statistic at each SNP obtained from the two degree of freedom (2df) joint test of genetic and environmental factors and their interaction.\textsuperscript{19} The likelihood ratio test used for the joint effects analysis simultaneously tests the association of a phenotype with the SNP minor allele and the interaction of this allele with an environmental exposure. Considering these joint test statistics instead of single SNP associations accounted for the three possible types of significant disease–SNP associations within a pathway: SNPs with strong main effects, SNPs with strong gene–environment interaction effects, and SNPs with small main and interaction effects that became significant when considered jointly. Accounting for these additional gene–environment interactions may improve the fit of the model across a pathway, increasing statistical power to detect associations with the phenotype.

Here, this method has been used to test the significance of the VEGF signaling pathway while accounting for interaction with environmental factors (smoking, BCP, and HRT). This pathway was chosen due to the discovery that variants in the VEGF gene have moderate effects on AMD risk\textsuperscript{16,21,22} and that exposure to these environmental risk factors alters VEGF expression levels.\textsuperscript{23–25} Despite these observations, little work has focused on finding genes or gene–environment interactions within the VEGF pathway that might influence angiogenesis and AMD development. Using an existing genome-wide association dataset of 1207 cases and 686 controls\textsuperscript{26} with self-reported environmental risk factor data, this method was used to examine joint effects of smoking or estrogen exposure and variants in the VEGF pathway on AMD risk.

**Methods**

**Sample Ascertainment**

Participant ascertainment occurred at the University of Miami’s Bascom Palmer Eye Institute, the Duke University Eye Center, and the Vanderbilt Eye Institute. Subjects were recruited by physicians, through advertisements in waiting rooms, patient newsletters, recruitment presentations, and AMD project websites. The study was conducted using protocols approved by the Institutional Review Boards at each institution and adhered to the tenets of the Declarations of Helsinki. Written informed consent was obtained from each participant.

All subjects received a comprehensive eye exam and AMD grade by a study ophthalmologist at one of the three ascertainment centers (Supplementary Table S1). Grading was conducted using color fundus photographs and the CARMS five-step grading scale,\textsuperscript{27} a modified version of the Age-Related Eye Disease Study (AREDS) grading system.\textsuperscript{28} This scale incorporated example slides from the Wisconsin Grading System\textsuperscript{29} and used the International Classification System as a guide.\textsuperscript{30} Regular exchanges of photographs were conducted with a subset of the sample to assess concordant grading across sites.\textsuperscript{31} Participants were assigned an AMD grade of 1 through 5 based on the more severely affected eye. Grades 1 and 2 were designated unaffected “controls” while grades 3, 4, and 5 were considered affected “cases.” All included subjects were of European descent and at least 55 years old.

Detailed histories of environmental exposures including smoking history and exogenous estrogen use were collected via a self-administered questionnaire. All participants were asked to indicate if they had ever smoked at least 100 cigarettes in their lifetime. Individuals who answered “Yes” were considered “Ever Smokers” and were asked the age or year they started and if and when they had quit (Table 1). Female participants were also asked to indicate whether they had ever used birth control (including pills, shots, or implants) or HRT (including pills, creams, or patches). Participants who answered “Yes” to either of these questions were considered “Ever BCP” or “Ever HRT” users and were also prompted to provide the duration of their usage. However, information on usage was too sparse for use in this analysis (Table 2).

**Genotyping and Quality Control**

Deoxyribonucleic acid from each participant was extracted from whole blood using PUREGENE methods (Gentra Systems, Minneapolis, MN, USA). Detailed genotyping and quality control methods have been described previously.\textsuperscript{26} Briefly, the dataset (1216 cases and 715 controls) was genotyped on the Affymetrix 6.0 GeneChip Human Mapping 1 Million SNP array set (Affymetrix, Inc., Santa Clara, CA, USA) using established protocols. The Birdseed program in APT BirdSuite 1.8.6\textsuperscript{26} was used for genotype calling. Deoxyribonucleic acid samples from the Centre d’Etude du Polymorphisme Humain (CEPH) reference families were also represented on each 96-well plate for quality assurance. We retained 668,238 SNPs for association analysis with SNP call rate $\geq 95\%$, minor allele frequency $\geq 0.02$, and $P > 1 \times 10^{-6}$ for tests of Hardy-Weinberg equilibrium in controls.

For sample quality control, samples with genotype call rates $\leq 95\%$ were excluded from the analysis ($n = 58$). Also,
participants with a sex inconsistency between the reported sex and the heterozygous genotypes of X-linked SNPs were removed (n = 13). GRR removed 22 related individuals (IBD > 0.40), and sample outliers identified by principal components analysis in EIGENSTRAT (available in the public domain at http://genepath.med.harvard.edu/~reich/EIGENSTRAT.htm) were removed (n = 11). The final dataset consisted of 1893 unrelated individuals (1207 cases and 686 controls, Tables 1, 2).

Statistical Analysis

To determine if the VEGF signaling pathway was associated with AMD in our dataset, PLINK’s set-test function was implemented in R (available in the public domain at http://www.R-project.org/) and conducted on all SNPs from the AMD GWAS within the VEGF pathway as defined by the KEGG www.R-project.org/ and sample outliers identified by principal components analysis in EIGENSTRAT (available in the public domain at http://genepath.med.harvard.edu/~reich/EIGENSTRAT.htm) were removed (n = 11). The final dataset consisted of 1893 unrelated individuals (1207 cases and 686 controls, Tables 1, 2).

Table 2. Description of the Female-Only AMD Subset Who Had a History of Exogenous Estrogen Use

<table>
<thead>
<tr>
<th>Dataset</th>
<th>n</th>
<th>Mean Age* (SD)</th>
<th>HRT Use† (Ever %)</th>
<th>BCP Use‡ (Ever %)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total cases</td>
<td>531</td>
<td>77.2 (8.2)</td>
<td>269 (51.8%)</td>
<td>102 (19.8%)</td>
</tr>
<tr>
<td>Total controls</td>
<td>242</td>
<td>71.5 (7.6)</td>
<td>162 (67.8%)</td>
<td>98 (41%)</td>
</tr>
<tr>
<td>Neovascular subset cases§</td>
<td>320</td>
<td>78.1 (7.6)</td>
<td>145 (46.5%)</td>
<td>54 (17.6%)</td>
</tr>
<tr>
<td>Neovascular subset controls§</td>
<td>180</td>
<td>71.5 (7.3)</td>
<td>121 (68.4%)</td>
<td>80 (44.7%)</td>
</tr>
<tr>
<td>Total dataset</td>
<td>514</td>
<td>75.4 (8.4)</td>
<td>431 (55.8%)</td>
<td>200 (25.9%)</td>
</tr>
</tbody>
</table>

* Mean age at ascertainment.
† 20 women were missing data on HRT use.
‡ 15 women were missing data on BCP use.
§ The neovascular AMD subset denotes grade 5 (cases) versus grade 1 (controls).

BiasedUrn permutation procedure. For the smoking analysis, both participant age and sex were also controlled for in the logistic regression models, while participant age and smoking history were accounted for in the women-only analysis of HRT and BCPs. Stratified analyses were conducted for significant results from the joint test to see if genetic effects were restricted to particular exposure strata.

Type 1 Error and Power Simulations

To evaluate the validity of this set-based approach, GenomeSIMLA was used to simulate 1000 null case–control datasets with sample sizes and prevalence of BCP use and smoking based on the females in the project data (n = 514 cases and 239 controls, BCP prevalence = 27%, smoking prevalence = 51%). GenomeSIMLA generated genomes with markers, allele frequencies, and linkage disequilibrium patterns based on SNPs from the Affymetrix 500K SNP array. The null datasets were generated with environmental effects (BCP RR = 0.5 and smoking RR = 2.0) but no significant genetic effects or gene–environment interactions. Under the null hypothesis of no association with AMD, the VEGF signaling pathway (n = 444 SNPs) was tested with the pathway-based 2df joint test incorporating BCP use and controlling for smoking. The type 1 error was estimated as the number of null replicates with significant pathway associations and compared to the expected 5% error rate.

Next we tested the power of this method to detect a genetic effect under a range of possible gene–environment interactions with an environmental factor modeled on the known effects of smoking in our dataset. In the first simulation, five independent SNPs within the pathway were chosen to have a genetic main effect (RRg = 1.2). This served as the comparison group to assess any gain in power when accounting for environment and gene–environment interactions. Next, main genetic and environmental effects were kept constant (RRg = 1.2 for SNPs and smoking RR = 2.0), while the number and magnitude of SNPs with gene–environment interactions were varied. Using QUANTO, we calculated that individual SNPs in a GWAS of this size would need a main effect of RR > 1.69 to be detected and implicate a pathway containing that SNP (z = 5 × 10^-8). Therefore, we explored a range of main and moderate interaction effects that fell below this threshold. For each condition, 1000 datasets were generated to mimic our entire smoking dataset (n = 894 cases and 525 controls, smoking prevalence = 51%), and power was estimated as the proportion of replicates with significant pathway associations (z = 0.05). Summing χ² statistics within a set (rather than averaging them) to obtain a cumulative statistic is used for gene-based methods like SKAT, VEGAS, and RVASSOC. Therefore, we also evaluated the performance of our set-based 2df joint test when χ² statistics were summed instead of averaged.
SNPs in VEGF Pathway and Estrogen Related to AMD

TABLE 3. Pathway Set-Test Results for Main Effects Within the VEGF Signaling Pathway (894 SNPs) for 1207 Cases and 686 Controls Who Had a History of Smoking and/or Exogenous Estrogen Use

<table>
<thead>
<tr>
<th>Individuals With</th>
<th>Total AMD Dataset*</th>
<th>Neovascular AMD Subset†</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data On:</td>
<td>P Value</td>
<td>P Value</td>
</tr>
<tr>
<td>Smoking</td>
<td>0.27</td>
<td>0.083</td>
</tr>
<tr>
<td>HRT‡</td>
<td>0.59</td>
<td>0.12</td>
</tr>
<tr>
<td>BCPs‡</td>
<td>0.70</td>
<td>0.2</td>
</tr>
</tbody>
</table>

* The total AMD dataset denotes grades 3, 4, and 5 (cases) versus grades 1 and 2 (controls).
† The neovascular AMD subset denotes grade 5 (cases) versus grade 1 (controls).
‡ Dataset includes women only.

RESULTS

The KEGG PATHWAY database version of the VEGF signaling pathway was used to determine the pathway’s genes (n = 67 genes, 894 SNPs) and the specific functional arms, or subpathways (Supplementary Table S2). Three subpathways were identified: proliferation (n = 35 genes, 624 SNPs), migration (n = 21 genes, 181 SNPs), and survival/permeability (n = 18 genes, 164 SNPs). Although cell survival and permeability are distinct physiological functions, there was significant overlap between the two gene networks that necessitated their combination for analysis. Migration and survival/permeability also shared several genes at the onset of both subpathways (n = 11). VEGFA, its receptor KDR, and receptor-associated proteins SH2D2A and SHC2 were included in the total VEGF pathway analysis but not in individual subpathways.

First we evaluated the association between the VEGF signaling pathway and total AMD or neovascular AMD by conducting a pathway analysis of genetic effects only. The pathway-based set-test of SNP main effects was not significant at the Bonferroni corrected P value ≤ 0.017 after correcting for three exposure datasets (Table 3). Next, we incorporated environmental exposure into our analysis. The total VEGF signaling pathway was not associated with AMD or neovascular AMD when we conducted the pathway-based 2df joint test for gene–environment interaction with HRT use or smoking. However, there was a significant pathway association (P = 0.017) for neovascular AMD in women after taking into account BCP use (Table 4). Stratification by BCP use found this association restricted to women who had taken BCPs (P = 0.0096).

The three VEGF subpathways were analyzed separately in women with neovascular AMD to see if joint genetic–BCP effects were clustered within a specific functional arm of the pathway. The VEGF subpathway responsible for proliferation was also associated with neovascular AMD. Again, stratification by BCP use showed that the association was only in women who had ever taken BCPs (Table 4).

Finally, gene-based 2df joint tests were conducted for genes within the proliferation subpathway for the BCP dataset to see which genes may be driving the association (Supplementary Table S5). One gene, MAPK3, did not have any SNPs that were included on the Affymetrix 6.0 chipset and could not be analyzed. Six genes within the proliferation subpathway were nominally associated (P < 0.05) with AMD for women with a history of BCP use (Table 4): NFATC1, NFATC2, NFATC4, PLCG1, PLCG2, and PPP3R1. All but PPP3R1 were associated with AMD only in BCP users, consistent with the previous pathway and subpathway results. NFATC1 and PPP3R1 were significant in both the SNP main effect and the 2df joint set-tests, suggesting that their associations may be driven by strong main effects. However, four genes on different chromosomess, NFATC2, NFATC4, PLCG1, and PLCG2, were significant only for the 2df joint set-tests and would not have been detected as associated with AMD without incorporating the environmental interaction.

Simulations generated a type I error rate of 4.3%, which is slightly under the expected frequency of 5%. These results establish that our test is valid under the null hypothesis for the types of genetic and environmental effects studied here. For power simulations, there was between 12% and 40% power to detect a pathway association in our dataset when genetic main effects were restricted to two to five independent SNPs (Supplementary Table S4). This was consistent with what we expected since we also found no main effects in our analysis. Next, for four or five independent SNPs, approximately 1% of the total pathway size, there was 80% to 94% power to detect an associated pathway after accounting for a modest gene–environment interaction with all SNPs (RRge = 1.3). This highlights the strength of the set-based 2df joint test and most likely explains the new association we observed in the VEGF signaling pathway, in which independent SNPs in several genes demonstrated significant interactions with BCP use. For lower numbers of interacting SNPs, the required gene–environment interaction to detect a pathway effect remained high at RRge = 2.4 to 3.9. This indicated that the lack of significant results for HRT or smoking in our dataset may reflect either a true lack of significant interaction across the pathways, or low numbers of interacting SNPs that did not reach this high threshold. There were no differences in power for averaging versus summing the χ² statistics in our dataset (data not shown).

DISCUSSION

Variants with large main effects can be readily detected with standard single-locus analysis, and these methods have identified variants that explain up to 65% of the genetic effect underlying AMD.10 The remaining genetic factors may be genes or pathways containing multiple variants with small effect, or variants that interact with the environment (or each other) to modulate disease. Such effects are detectable through methods that consider the joint action of variants within a gene or across a pathway and the interaction of those variants with nongenetic factors. This approach successfully identified the association of multiple genes within the VEGF pathway with AMD, but only when considering history of BCP use in women. This illustrates that some potential AMD biological mechanisms may be revealed only when complex relationships among risk factors are considered.

To perform this pathway-based gene–environment interaction analysis, we extended an existing gene-based test to incorporate a 2df joint test of genetic main effect and gene–environment interaction as the input test statistic. Unlike standard set-based methods that consider main effects only, the joint effects analysis simultaneously tested the effects of a genotype and its interaction with an environmental exposure. This method does not test gene–environment interaction directly but instead considers the joint effect of genetic variants and environmental exposures, summarized across the gene or pathway. This approach maintained the correct type 1 error rate and had adequate power to detect a pathway effect in our dataset.

For our analysis of the VEGF signaling pathway we found novel pathway and gene associations with neovascular AMD, after accounting for BCP use, that were not detected in the same tests of genetic main effects alone. Although set-based...
that are present in the eye are activated by light, and excessive sunlight exposure has also been implicated in AMD. 

PPP3R1 (DHEA), a chemical precursor that is converted to estrogen, influences proliferation and modulate AMD risk. Estrogen may still increase AMD risk in women with some genetic backgrounds. Reconciling the interactions with genes. Estrogen may still increase AMD risk in women who had a history of BCP use. Further analysis showed that the total pathway association seemed to be driven by six genes within the proliferation subpathway. This subpathway was the largest of the three analyzed, and it contained several nested pathways, including the initiation of the mitogen-activated protein kinase and calcium signaling pathways. All six genes were directly connected at the beginning of the proliferation subpathway, near the beginning of the calcium signaling pathway. They were also in the gene network that leads to the metabolism of proinflammatory arachidonic acid; this is notable because inflammation is an important reported feature of AMD pathogenesis.

In addition, several genes identified in this study have been implicated in ocular diseases or are connected to other AMD effectors. The results found within the proliferation subpathway mirrored known biological interactions for several genes. Ethinyl estradiol, the most common synthetic estrogen found in BCPs, induces expression of PLA2G12B, PLCG2, and PPP3R1. Known estrogen receptor modulators, tamoxifen and raloxifene, can also alter the expression of NEAT1, which is noted because inflammation is an important reported feature of AMD pathogenesis.

Furthermore, the VEGF pathway’s PLA2 and PLCG genes that are present in the eye are activated by light, and excessive sunlight exposure has also been implicated in AMD pathogenesis. Other genes within the PLA2 family, notably sPLA2-IIa, have been implicated in ocular surface physiology and inflammation. How these genes work together to influence AMD is unknown and will require molecular and functional studies.

Nevertheless, anti-VEGF drugs that target VEGFA and its receptor are the main treatments for combating the destructive angiogenesis characterizing neovascular AMD. However, not all patients respond similarly to this treatment. In the future, pathway-based approaches targeting multiple genes might lead to more effective therapies for attenuating this pathway in AMD. For example, a PLCG1 modulator, c-Cbl, has already been found to inhibit angiogenesis from VEGF stimulation. The identification of associated gene networks within this study will allow more focused fine-mapping of the complex interactions that jointly influence the risk of developing AMD; the results bear examination in additional large datasets to evaluate the reproducibility of this effect. A limitation of this study is that we were able to test in only one dataset, so results may have been generated by chance; but if reproducible, these results point toward new genes for biological studies and possible treatment targets.

This study illustrates the utility of exploring pathways of previously associated genes to find novel gene associations. While the VEGFA gene itself was previously associated with increased risk of AMD, this study implicates the VEGF signaling pathway more broadly, and suggests that this effect may be restricted only to those women who used BCPs. These results also demonstrate the importance of incorporating environmental exposures in tests of genetic association in complex diseases, at the SNP, gene, or pathway level. Exploring more complex models of gene–environment interactions may find associated variants that account for remaining heritability and more closely align with disease etiology.

Acknowledgments
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<table>
<thead>
<tr>
<th>Gene</th>
<th>Chromosome</th>
<th>Position</th>
<th>No. of Genes</th>
<th>2df Joint Test P Value,</th>
<th>Neovascular AMD Subset P Value,</th>
<th>Total AMD Dataset P Value,</th>
</tr>
</thead>
<tbody>
<tr>
<td>PLA2G12B</td>
<td>chr20:50003494-50159258</td>
<td>1</td>
<td>45</td>
<td>0.068</td>
<td>0.015</td>
<td>0.38</td>
</tr>
<tr>
<td>PLCG2</td>
<td>chr14:24836145-24848810</td>
<td>1</td>
<td>15</td>
<td>0.19</td>
<td>0.039</td>
<td>0.016</td>
</tr>
<tr>
<td>PPP3R1</td>
<td>chr12:68405989-68479651</td>
<td>1</td>
<td>4</td>
<td>0.21</td>
<td>0.041</td>
<td>0.27</td>
</tr>
<tr>
<td>VEGFA</td>
<td>chr2:68405989-68479651</td>
<td>1</td>
<td>4</td>
<td>0.21</td>
<td>0.041</td>
<td>0.27</td>
</tr>
</tbody>
</table>

Bold typeface indicates a significant association (P < 0.05).

* The total AMD dataset denotes grades 3, 4, and 5 (cases) versus grades 1 and 2 (controls).
† The neovascular AMD subset denotes grade 5 (cases) versus grade 1 (controls).
‡ Significant genes had P < 0.05 in either the original set-test or 2df joint test.
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References


