Clinical Evaluation of the Proper Orthogonal Decomposition Framework for Detecting Glaucomatous Changes in Human Subjects

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PURPOSE. To evaluate the new proper orthogonal decomposition (POD) framework for detecting glaucomatous progression from HRT topographies of human subjects and compare it with HRT topographic change analysis (TCA).

METHODS. Of 267 eyes of 187 participants with ≥4 retinal tomographic examinations in the University of California, San Diego Diagnostic Innovations in Glaucoma Study (DIGS), 21 eyes were of longitudinally normal subjects and 36 eyes progressed by stereophotographs or visual field–guided progression analysis (progressors). All others were considered nonprogressing (nonprogressors; n = 210 eyes). POD parameters of Euclidean distance (L2 norm), image Euclidean distance, and correlation were computed, and their area under receiver operating characteristic curves (AUCs) of the best performing POD parameters in differentiating progressors from nonprogressors and normal subjects were compared to the TCA parameters of the number of superpixels with significant decrease in retinal height (red pixels), size of the largest cluster of red pixels (CSIZE), and CSIZE% of disc size, all within the optic disc margin.

RESULTS. AUCs of the best performing POD L2 norm and TCA red pixel parameters in differentiating progressors from normal subjects were both 0.86 and in differentiating progressors from nonprogressors were 0.68 and 0.64, respectively; the AUC differences for normal subjects and nonprogressors were statistically significant.

CONCLUSIONS. The POD framework, which can detect and confirm glaucomatous changes in a single follow-up visit, provides a performance similar to that of TCA in differentiating progressors from normal subjects and nonprogressors. (Invest Ophthal Vis Sci. 2010;51:264 –271) DOI:10.1167/iovs.08-2014

Because of the chronic and progressive nature of glaucoma, detection of progressive structural changes in the optic nerve head (ONH) region and progressive visual function changes is an important component of clinical management of the disease. Detecting progressive changes may be challenging because of any inherent variability in the appearance of the ONH due to ocular (for example, due to fluctuations in intraocular pressure) or systemic conditions (for example, due to changes in blood pressure), variability in the structural and functional measurements due to the instruments used in the examinations, and the slowly progressing nature of the disease.

The Heidelberg Retina Tomograph (HRT; Heidelberg Engineering, GmbH, Heidelberg, Germany) is a confocal scanning laser ophthalmoscope (CSLO) commonly used in ophthalmology clinics for monitoring glaucomatous structural changes in the ONH region. The HRT captures the three-dimensional architecture of an ONH by acquiring high-resolution optical section images of the ONH at various depths with less dependence on pupil dilation compared with stereophotography and therefore allows a routine and rapid clinical analysis of the ONH for detecting progressive structural changes. HRT software constructs a three-dimensional profile of ONH topography from the optical section images. Localized pixel-level ONH changes can be detected from the ONH topographies by using statistical change detection algorithms.1,2

The new proper orthogonal decomposition (POD) framework was recently introduced for detecting structural glaucomatous changes in the ONH, and the diagnostic performance of the POD framework has been demonstrated in ONH examinations of primate eyes under experimental glaucomatosus conditions.7 In this work, we evaluated the clinical diagnostic performance of the POD framework for detecting glaucomatous progression in human subjects and compared it with the HRT topographic change analysis (TCA).

METHODS

Subjects

All eligible participants from the University of California, San Diego (UCSD) Diagnostic Innovations in Glaucoma Study (DIGS) with at least four good-quality retinal tomographic examinations (HRT-II; Heidelberg Engineering), at least five good-quality Standard Automated Perimetry (SAP; Humphrey HFA II, Carl Zeiss Meditec, Dublin, CA) visual field examinations (SITA standard and full-threshold examinations), and at least two good-quality stereophotographs (TRC-SS; Topcon Instruments Corp. of America, Paramus, NJ) of the optic disc were included in the study (267 eyes of 187 participants). HRT-II examina-
tions with a mean pixel height standard deviation (MPHSD) <50 μm, even image exposure, and good centering were considered to be of good quality; SAP visual field examinations with fewer than 25% false positives, false negatives and fixation losses and no observable testing artifacts were considered to be reliable; stereophotographs that were assessed to be of fair to excellent quality by trained graders were considered to be acceptable.

Two hundred forty-six eyes of 167 patients were categorized as progressed and nonprogressed (details presented later) based on visual function changes by SAP-guided progression analysis (GPA; Humphrey Field Analyzer, software ver. 4.2) and optic disc progression grading by stereophotography. For each eye, the baseline visual field examinations for SAP GPA and the baseline stereophotograph for optic disc progression grading were within 6 months of the HRT-II baseline examination date. Similarly, the last visual field examination for SAP GPA and the last stereophotograph for progression grading were within 6 months of the last HRT-II examination date.

An additional 21 eyes of 20 participants were longitudinally normal eyes (normal subjects) with no history of IOP >22 mm Hg, normal-appearing optic disc by stereophotography and SAP visual field examination results within normal limits (median age, 62.7 years; median HRT-II follow-up, 0.5 year).

Glaucomatous progression in the 246 patient eyes was defined based on likely progression by SAP GPA or progression by stereophotographs of the optic disc. Progressive changes in the stereophotographic appearance of the optic disc between the baseline and the last stereophotograph of an eye (patient name, diagnosis, and temporal order of stereophotographs were masked) were assessed by two observers based on a decrease in the neuroretinal rim thickness, appearance of a new retinal nerve fiber layer (RNFL) defect, or increase in the size of a preexisting RNFL defect. Any differences in assessment between these two observers were adjudicated by a third observer. Thirty-six eyes of 33 participants progressed by stereophotographs and/or showed likely progression in SAP GPA (progressors) and the rest of the 210 eyes of 148 participants were considered nonprogressing (nonprogressors). Table 1 provides a detailed summary of the progressors and nonprogressors. The UCSD Institutional Review Board approved the study methodologies and all protocols adhered to the Declaration of Helsinki guidelines for research in human subjects and the Health Insurance Portability and Accountability Act (HIPAA).

**HRT Image Processing**

TCA change probabilities were computed for all study participants (HRT 3 software; HRTS Glaucoma Module, ver. 3.1.2.5; Heidelberg Engineering). For quantitative analysis, TCA superpixel change probabilities of each follow-up examination and all topographies aligned with the baseline topography of each eye were exported from the software. (TCA change probability exports are available as .txt files, and the topographies are available as .raw files; all analyses by MATLAB ver. 7.4.0. The Mathworks, Inc., Natick, MA.)

Using the change probabilities and the superpixel mean difference images exported from the software, a change significance map was constructed for each follow-up examination by identifying the superpixel locations with significant decrease in retinal height from the baseline examination (i.e., the locations with negative height change in the mean difference image and change probability <0.05). As in the software (HRTS glaucoma module, ver. 3.1.2.5), any significantly changed superpixel locations with fewer than four significantly changed superpixel neighbors were discarded. After any isolated locations were filtered from the change significance maps, clinically significant TCA change locations were detected by identifying the superpixel locations with changes repeatable in two of two, three of three, or three of four most recent follow-up examinations, depending on the number of follow-up examinations available at the time of evaluation (personal communication, Heidelberg Engineering, 2007). The spatially filtered and clinically significant change significance maps were used to compute 3 TCA change summary parameters: (1) total number of superpixel locations with a significant decrease in retinal height within the optic disc margin (red pixels), (2) size of the largest cluster of red pixels within the optic disc margin (CSIZE), and (3) proportion of CSIZE to disc size in percent (CSIZE%).

**The POD Framework**

The details of the POD framework have been published. In brief, for detecting structural progression in an eye from a baseline condition, the

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<tr>
<th>Table 1. A Summary of the Progressors and Nonprogressors Used for Evaluating the Performance of the POD Framework and TCA</th>
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<tr>
<td><strong>Eyes (subjects), n</strong></td>
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<tr>
<td><strong>Age, y</strong></td>
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<tr>
<td>Mean (95% CI)</td>
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<td>Median (range)</td>
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<td><strong>HRT exams, n, median (range)</strong></td>
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<td><strong>HRT follow-up, y, median (range)</strong></td>
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<tr>
<td><strong>SAP mean deviation at baseline</strong></td>
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<td>Mean (95% CI)</td>
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<td><strong>SAP PSD at baseline</strong></td>
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<td>Mean (95% CI)</td>
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<td>Median (range)</td>
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<tr>
<td><strong>Abnormal disk† from photo evaluation at Baseline, %</strong></td>
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<td><strong>Abnormal visual field‡ at baseline, %</strong></td>
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<td><strong>Both abnormal disk† and abnormal visual field‡ at baseline, %</strong></td>
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* One of the eyes that progressed by SAP GPA of the 36 progressors did not have a baseline stereophotograph within 6 months of the HRT-II baseline date.

† Optic disc with cup-to-disc area, neuroretinal rim thinning, or retinal nerve fiber defects indicative of glaucoma.

‡ Visual field PSD with P ≤ 0.05 and/or Glaucoma Hemifield Test results outside normal limits by STATPAC analysis.
**Results**

Table 2 lists a performance summary of the POD and TCA parameters for detecting glaucomatous progression in the set of 36 progressors, 21 normal subjects, and 210 nonprogressors. In differentiating progressors from normal subjects, the $L_2$ norm parameter resulted in the largest AUC of 0.86 among the POD parameters, and all the TCA parameters resulted in the same AUC of 0.86. Figure 1 shows the corresponding ROC curves of the POD $L_2$ norm and the TCA red pixels parameters.

In differentiating progressors from nonprogressors, $L_2$ norm resulted in the largest AUC of 0.68 among the POD parameters and red pixels resulted in the largest AUC (0.64) among the TCA parameters. The difference in the AUC of 0.04 (95% confidence interval [CI], −0.08 to 0.14) did not reach statistical significance ($P = 0.28$). Figure 2 shows the corresponding ROC curves of the POD $L_2$ norm and the TCA red pixels parameters.

Figure 3 shows an example of a normal subject and Figure 4 shows an example of a progressor with progressive glaucomatous changes by stereophotography and likely progression by SAP GPA. Changes in a follow-up examination can be observed visually by comparing the follow-up examinations with their respective baseline subspace representations; quantitatively, large POD $L_2$ summary parameters indicate evidence of change from baseline. In Figure 3, there was no evidence of changes in the follow-up topographies from the baseline condition. The lack of change can be observed by the obvious similarity of each of the

**FIGURE 1.** Comparative ROC curves of the POD $L_2$ norm and TCA total red pixels parameters in differentiating progressors from normal subjects. There was no difference in the AUC between the POD and TCA parameters.
follow-up topographies shown in Figure 3a with their respective baseline subspace representations shown immediately below them in Figure 3b.

In contrast, changes can be observed in the follow-up topographies of the example progressor shown in Figure 4a by comparing them with their respective baseline subspace representations shown immediately below them in Figure 4b. Because retinal topographic measurements have a wider range, changes may not be visually obvious in the follow-up topographies shown in Figure 4; therefore, for demonstration, rim thickness changes in the inferior location of the example progressor for a follow-up examination in July 2007 (Jul07) are marked manually in Figure 4. For this example progressor, Figure 5a shows an enlarged mean topography from the last follow-up examination in Jul07 and its baseline subspace representation; Figure 5b shows the corresponding mean follow-up reflectance image and its baseline subspace representation; Figure 5c shows the baseline and last follow-up stereophotographs used for assessing glaucomatous changes by stereophotography; Figure 5d shows the SAP GPA of the earliest visual field follow-up examination with evidence of likely progression by GPA. Glaucomatous changes can be observed in the inferior optic disc location between the 5- and 8-o’clock positions in Figures 5a and 5b by comparing the follow-up topography/reflectance images with their respective baseline subspace representations. Structural glaucomatous changes of rim thinning and optic cup enlargement were visually more obvious in the HRT follow-up reflectance image in Figure 5b compared with the HRT follow-up topography in Figure 5a. GPA showed the corresponding visual field changes in the superior hemifield location. Overall differences between follow-up topographies and their POD baseline subspace representations also are summarized by large $L_2$ norm values of the progressor example in Figure 4b compared with the normal eye in Figure 3b (see also Fig. 6a for the trend plot of these POD $L_2$ norm parameter values).

Figures 3c and 4c show the TCA superpixel change significance maps for the normal and the progressor examples, indicating locations in the ONH regions with a significant decrease in retinal height from the baseline condition. Figure 6 shows the POD $L_2$ norm parameter trends and the TCA red pixels parameter trends of the normal (shown in Fig. 3) and the progressor (shown in Fig. 4) examples.

**DISCUSSION**

In this work, we showed that the clinical diagnostic performance of the POD framework measured with AUC was similar...
to TCA in detecting glaucomatous changes from the HRT-II examinations of participants in the DIGS. AUCs of both the POD and TCA summary parameters were moderate in differentiating the progressors from normal subjects (AUC = 0.86) and low in differentiating the progressors from nonprogressors (AUC = 0.68 and 0.64, respectively), and the differences were not statistically significant. It should be noted that we used only the last HRT examinations of each of the study participants for calculating the diagnostic accuracies (AUC) of the POD framework and TCA. Therefore, the TCA parameters were derived from the three or four latest HRT examinations to provide high specificity in normal subjects and nonprogressors (i.e., changes repeatable in three of three or three of four most recent HRT follow-up examinations), whereas, the POD summary parameters were calculated only from the last HRT follow-up examination of a study participant and provide a similar diagnostic performance. For example, in the POD and TCA parameter trend of the example progressor shown in Figure 6, both the POD $L_2$ norm parameter and the TCA red pixels parameter detected change in the last follow-up. However, it can also be observed that the POD $L_2$ norm parameter detected change at the first follow-up examination (i.e., Sep02 examination in Fig. 6a) whereas, the TCA red pixels parameter detected change at the 4th follow-up (i.e., Nov06 examination in Fig. 6b). Quantitative analysis of determining the earliest glaucomatous changes in progressors, while maintaining high specificity in normal subjects and nonprogressors, is a topic of future study in which we will examine this strength of the POD framework.

Low diagnostic accuracies observed in both the POD framework and TCA when differentiating progressors from nonprogressors may be due to false classifications by the respective methods and/or any inaccuracies in the gold standard used to identify the nonprogressors based on the absence of progression by stereophotographs and SAP GPA. Therefore, some of the eyes in the nonprogressors group that were classified as progressors by the POD framework and TCA may correspond to eyes in early stages of progression that are not yet detected by stereophotograph or visual field assessment. This possibility is in part supported by the fact that the diagnostic accuracies of both the techniques were high when differentiating progressors from normal subjects (AUCs = 0.86). Longer follow-up of these eyes is needed to determine the proportion of eyes in the nonprogressors group classified as progressors by the POD framework and TCA that later develop optic disc and/or visual field damage, which can be considered early detection and the proportion that remain stable which can be considered false positives.

At the core of the new POD framework is the construction of a unique baseline subspace in each eye that captures the topographic measurement variability and inherent structure variability at a baseline condition using the POD mathematical procedure. In contrast to the generalized subspaces often used in the conventional Fourier and wavelet transformations, the
POD ensures that the baseline subspace constructed is optimal and, more important, unique to a given eye. Adaptive wavelet transforms constructed using the lifting scheme may be useful in generating an eye-specific baseline subspace similar to POD.

There are several advantages of the POD framework. First, it can detect glaucomatous changes in a follow-up examination without requiring additional follow-up examinations to confirm the detected change, while maintaining high specificity. In contrast, TCA requires two or three follow-up confirmation examinations to achieve high specificity. The ability to detect change earlier in progressing eyes while maintaining high specificity in stable eyes without requiring several confirmations is particularly important in identifying glaucomatous progression for clinical decision-making and in randomized clinical trials.

Second, the POD framework allows the use of one or more HRT examinations to define a baseline condition and does not limit the number of scans or examinations that can be used to define a baseline or a follow-up condition. Because both the POD framework and TCA compare follow-up topographies to the baseline, estimates of topographic measurement variability at baseline is essential for differentiating glaucomatous changes from nonglaucomatous measurement variations (for e.g., due to measurement variability, or ONH structural variations due to fluctuations in intraocular pressure). Although acquiring more topographic scans per examination may improve the estimates of topographic measurement variability, it may not be logistically feasible in clinical practice; therefore, for this analysis we used three topographic scans per ONH examination that are automatically acquired with the HRT-II. However, acquiring one or more additional examinations within a short interval at the baseline condition can improve the variability estimates at baseline. The POD framework can easily use multiple baseline examinations to improve the estimates of baseline variability. For example, the POD $L_2$ norm parameter trend plots of an example progressor and a normal subject shown in Figure 7 indicate the inherent learning ability of the POD framework to incorporate two examinations within 6 months duration, to define a baseline condition and improve the estimates of measurement variability at baseline (shaded region in the trend plots in Fig. 7). This feature of the POD framework can also be used to easily update the baseline subspace of an eye, which may improve the estimates of topographic measurement variability when the baseline condition changes—for example, after an IOP reduction surgery or after glaucoma medication.

In the current analysis, the POD framework does not have a graphic representation of locations of change in the follow-up topography. However, one of the advantages of the POD framework is that other statistical and computational pixel-wise change detection algorithms can be integrated with the POD framework. For example, after constructing the baseline subspace representations of follow-up topographies, pixel-wise changes between follow-up topographies and their respective baseline subspace representations can be estimated using a statistical procedure as in the TCA method (i.e., the POD framework allows incorporation of the strengths of other progression analysis techniques). Inclusion of other pixel-wise...
change detection algorithms within the POD framework will be studied separately in a future work.

The POD framework for glaucomatous change detection requires the follow-up topographies to be aligned to the baseline topographies, as required by all pixel-wise change detection algorithms. In this work, the POD parameters were calculated from the topographies that were aligned using the standard alignment procedure available in the HRT-3 software. Theoretically, the IMED parameter can account for small misalignments between baseline and follow-up topographies compared with the $L_2$ norm parameter in the POD framework. However, the diagnostic accuracy of the IMED parameter is similar to the $L_2$ norm parameter indicating no significant misalignment among the topographies when using the alignment procedure available in the HRT-3 software.

One of the limitations of this study is the small number of progressors ($n = 36$ eyes) and normal subjects ($n = 21$ eyes) available for evaluating the diagnostic performance of the POD framework and TCA. A larger number of progressing eyes may improve the confidence interval of the AUC diagnostic measures. However, the study population size in the current analysis is comparable to other similar studies and, like other studies, is restricted by the slowly progressing nature of glaucoma.

For clinical use of the POD framework, parameter cutoffs that provide 95% detection specificity in control normal subjects and patients with stable glaucoma can be used to define glaucomatous progression by HRT topographies as in the TCA parameters. POD parameter cutoffs can be estimated as the 95th percentile values of these parameters in control normal subjects and patients with stable glaucoma and will be studied in a future work.

In summary, the POD framework shows promise for detecting glaucomatous progression in human subjects and provides an overall performance similar to that of HRT TCA. Unique advantages of the POD framework include its ability to detect and confirm changes by using a single follow-up examination, while maintaining high specificity; its inherent learning capability of using multiple baseline examinations, when available, to improve the estimates of topographic measurement variability; and the ability to easily update the progression analysis when the baseline condition in an eye changes. Further study is necessary to determine whether the unique strengths of the POD framework can significantly improve the diagnostic accuracy of the method.

References


