Graves’ disease (GD) is a common autoimmune condition associated with dysregulated thyroid gland activity and growth. Underlying glandular overactivity are stimulatory autoantibodies directed against the thyrotropin receptor (TSHR), known as thyroid-stimulating immunoglobulins (TSI). Their actions result in the overproduction of thyroid hormones, which in turn causes numerous metabolic abnormalities in peripheral tissues. Closely associated with GD is the manifestation localized in orbital connective tissues, known as thyroid-associated ophthalmopathy (TAO, also known as Graves’ orbitopathy) that occurs in 25% to 50% of those with GD. The clinical features of TAO include periocular edema, eyelid retraction, proptosis, strabismus, exposure keratopathy, and compressive neuropathy. They are disfiguring and may threaten sight. The clinical course of TAO usually involves a self-limited active phase characterized by inflammation and tissue remodeling. This process typically lasts between 18 and 36 months, and is followed by the stable phase. The exact pathogenesis of TAO appears to involve complex molecular and cellular processes that have yet to be understood fully.

The connection between the orbit and the pathologic events occurring within the thyroid in GD remains enigmatic, as does any relationship existing between thyroid hormone excess and TAO. The first signs of ocular involvement can precede, accompany, or follow development of thyroid dysfunction. The concept that orbital disease is not provoked directly by abnormal thyroid hormone levels associated with GD is now widely accepted. Rather, most experts believe that TAO occurs as the consequence of underlying autoimmune processes. Its complexity and diversity of presentation, combined with the absence of complete animal models, have delayed solving TAO. However, recent progress in identifying at least some of the pathologic elements involved in GD has begun to accelerate our understanding of the disease. This review attempts to crystallize these advances, and in so doing, identify weaknesses in the current concepts, and provide a roadmap for future studies that should facilitate basic discovery and translate to the clinic.

Keywords: autoimmune, Graves’ disease, inflammation

**FACtORS FOR TAO**

**Genetic Predisposition**

Genetic and environmental factors contribute to the pathogenesis of GD. However, clear-cut differences between genetic variations associated with GD and those peculiar to the subset of individuals developing TAO have not yet been identified. Similar to other autoimmune conditions, GD and TAO are more prevalent among females. However, men with GD appear to
be at greater risk of developing severe TAO. Prevalence of TAO also diverges with respect to ethnicity. For instance, Asians are less likely to suffer TAO than are their European counterparts. Increased incidence of GD among family members also indicates that genetic factors have a major role in susceptibility.

A recent study investigated the prevalence of ocular and eyelid signs in first and second-degree relatives from a single family harboring multiples cases of GD, TAO, and Hashimoto’s thyroiditis. The investigators reported that 33% of the euthyroid relatives had signs of TAO, such as upper lid retraction. These findings favor a genetic contribution to the development of TAO.

Studies examining twins with GD were conducted by interrogating the Danish twin registry. These demonstrated concordance rates as high as 30% for GD in monozygotic compared to 3% in dizygotic twins. They indicated that approximately 70% of the risk for developing GD is attributable to genetics, while the remaining 21% derives from environmental factors. In addition, several reports have appeared identifying multiple susceptibility genes associated with GD. Among these polymorphisms are variations in genes regulating immune function, such as HLA-DR3, CTLA4, PTPN22, CD40, IL-2RA, FCRL3, and IL-23R. Others encode thyroid-specific proteins, such as TSHR and thyroglobulin (Tg).

Identification of novel single-nucleotide polymorphisms (SNPs) in disease susceptibility genes further contributes to our understanding of the genetic basis underlying GD. The Interleukin-21 and IL-21R polymorphisms have been associated with autoimmune conditions, such as type 1 diabetes mellitus, juvenile idiopathic arthritis, psoriasis, celiac disease, ulcerative colitis, and multiple sclerosis. The SNPs within the IL-21 gene and those located within intron 1 of TSHR, such as rs2284720, also have been associated with GD and TAO. The SNP rs6479778, identified within the ARID5B gene at 10q locus, and SNP rs12147587, located within the NRXN3 gene at 1q4 locus, represent variations within genes that regulate adiposity and might predispose to GD.

Because the vast majority of individuals with TAO have underlying GD, it would not be surprising that the two processes share disease susceptibility genes. One recent study examined polymorphisms of HLA, CTLA4, IL-23R, and TSHR in a cohort with TAO and found no genetic differences compared to patients with GD without ocular involvement. Most studies have concluded that the gene polymorphisms thus far identified contribute little to overall disease susceptibility. None identified appears to convey sufficient risk for developing TAO to warrant prophylactic treatment in individuals with GD. The relative contributions of specific genetic and environmental factors for developing TAO remain to be quantified. Moreover, the susceptibility conferred appears complex and varies with ethnicity.

**Epigenetics**

Besides genetic factors, epigenetic determinants, such as heritable alternations in gene function, also may have a role in GD. These could contribute through alterations in DNA methylation, histone modifications, genomic imprinting, RNA interference, and X chromosome inactivation. As with genetic factors, those that emanate from the epigenome...
and provide unequivocal causality have yet to be identified. Yin et al. found upward skewing of X chromosome inactivation (>80% inactivation of one X chromosome in the same tissue) in GD when compared to healthy individuals. Yet, the mechanisms through which this inactivation leads to increased risk for GD are not yet known. Nonetheless, this phenomenon could ultimately explain the higher incidence of GD and TAO in women.

A recent study has identified a Tg promoter nucleotide substitution (1623 A/G SNP, rs180195) that may predispose to autoimmune thyroid disease. GI T allele and G/G haplotype are more frequent in affected individuals, and interact epigenetically with IFNα following viral infections. Subsequently, interferon regulatory factor-1 (IRF-1) binds the Tg promoter at rs180195, resulting in enhanced mono-methylation of the Lys-i residue of H3. Treatment with IFNα of thyroid cells transfected with a fragment of the Tg gene promoter fused to a reporter increases its activity only in the construct harboring the variant. Thus, it is possible that IFNα promotes IRF-1 binding to the variant Tg promoter, thereby directly modulating expression of gene(s) underlying thyroid autoimmunity.

Environmental Factors

Environmental factors, such as infectious agents, have been implicated in the initiation of immune responses to self-antigens. These might underlie the development of GD and TAO. Bacteria can induce inflammatory responses leading to aberrant expression of co-stimulatory molecules, including MHC class II. This process often results in presentation of self-antigens and the activation of antigen-specific T cells. Alternatively, infections can alter the expression of host proteins so that they become misrecognized as foreign. Molecular mimicry, resulting from primary sequence identity or conformational similarities to antigens, also could have a pathogenic role in the development of GD, as has been proposed in other autoimmune conditions.

An early study reported that DNA from human foamy viruses (HFV), otherwise known as spuma viruses, had been detected in peripheral DNA from a majority of those with GD, but was undetectable in healthy controls. Subsequent studies have failed to confirm these findings. However, another report detected HFV proteins in diseased thyroid tissue. It remains unclear whether HFV infection might be associated with GD. A follow-up study utilizing more modern techniques could resolve this open question.

Yersinia enterocolitica was investigated initially for its participation in GD more than 40 years ago. The large proportion of individuals with GD in whom antibodies against Y. enterocolitica can be detected suggests that these bacteria might express proteins resembling those of the host. Y. enterocolitica is a member of the G protein coupled receptor family. It contains a ligand-binding extracellular domain (ectodomain), a transmembrane domain, and an intracellular domain (endodomain). Posttranslational intramolecular pro-peolytic cleavage of the extracellular domain results in the generation of the A-subunit, which exhibits immunoreactivity and is processed by antigen presenting cells. Thyroid-stimulating immunoglobulins and TSH binding to TSHR results in receptor activation and unregulated thyroid hormone production. This appears to be the basis for hyperthyroidism and the development of goiter in GD.

The Putative Role of TSHR in TAO

Thyroid-stimulating hormone receptor, a glycoprotein hormone receptor, is a member of the G protein coupled receptor family. It contains a ligand-binding extracellular domain (ectodomain), a transmembrane domain, and an intracellular domain (endodomain). Posttranslational intramolecular proteolytic cleavage of the extracellular domain results in the generation of the A-subunit, which exhibits immunoreactivity and is processed by antigen presenting cells. Thyroid-stimulating immunoglobulins and TSH binding to TSHR results in receptor activation and unregulated thyroid hormone production. This appears to be the basis for hyperthyroidism and the development of goiter in GD.

The frequently encountered close temporal relationship between the onset of thyroid dysfunction and development of TAO suggests that GD and TAO might share a common etiology, and perhaps share a common autoantigen. In addition to thyroid epithelium, TSHR can be detected in several connective tissue/adipose depots, including those within the orbit. Levels of TSHR mRNA are considerably lower in orbital fat than those found in thyroid. They appear to be higher in orbital fibroblasts from patients with TAO compared to those from healthy donors. While the role of TSI in TAO has not been established, these antibodies can activate TSHR displayed on orbital fibroblasts and lead to downstream signaling and production of IL-6. While evidence suggesting that low-level TSHR expression on orbital fibroblasts is capable of transducing signals from TSI has been introduced, whether the receptor protein serves as an intraorbital antigen remains uncertain. To our knowledge, no compelling studies have demonstrated antigen-specific T cell infiltration of the orbit in TAO.

T AND B CELLS

In TAO, T and B cells infiltrate orbital fat (Fig. 3) and extraocular muscles. This pattern of lymphocyte recruitment shares similarities with that occurring in the thyroid. Both CD4+ and CD8+ T cells can be identified among the infiltrate, a process that apparently occurs early in TAO. Th1 predominates early in the disease, whereas a bias toward the Th2 phenotype can be found later. Both CD4+ Th1 and T cells, which
have been implicated in other autoimmune diseases, have yet to be identified in orbital infiltrates. Despite the variants of IL-23R that have been associated with TAO, the increased frequency of circulating Th17 and Th22 cells in GD, the possible involvement of the Th17 pathway in TAO has yet to be examined carefully.

**Cytokines**

Orbital tissue activation and remodeling associated with TAO appear to result from cytokine-dependent fibroblast activation. This might be attributed, at least in part, to the unusual susceptibility of orbital fibroblasts to the actions of proinflammatory cytokines. Evidence for involvement of specific cytokines derives from their detection in involved orbital fat. One study demonstrated immunoreactivity against IFNγ, TNFα, and IL-β. Messenger RNA encoding cytokines, including TNFa, IFNγ, IL-4, IL-6, and IL-10, was detected in extracellular muscle and fat from patients with TAO. Prummel et al. found elevated serum soluble IL-2R (sIL-2R) levels in patients with GD and those with active TAO. Although these levels failed to correlate with disease activity or severity, those individuals with the highest levels exhibited enlarged extracellular muscles. Serum concentrations of IL-6 and soluble IL-6R were found to be elevated in GD compared to healthy individuals. These serum levels were even higher in the subset with active TAO. Serum IL-6 levels were higher in long-standing TAO. Serum soluble IL-2R, IL-6, IL-6R, TNFα, IL-1β, and CD95 were elevated in patients with moderately severe untreated TAO compared to healthy individuals matched for sex, age, and smoking habits. Increased serum IL-17 levels were detected in TAO and were particularly elevated in those with active disease.

**Orbital Fibroblasts and the Putative Role of Bone Marrow–Derived Fibrocytes**

A remaining central question concerns the identity of the primary autoimmune target in TAO. Extraocular muscle has been proposed by a few investigators, but most have focused on orbital fibroblasts. Supporting the latter point of view, infiltrating CD8+ T cells recognize orbital fibroblasts, and become activated through MHC class II and CD40-dependent signaling, suggesting that these cells represent autoimmune targets.

Orbital fibroblasts are a heterogeneous population of cells with complex structural and immunoregulatory functions. They comprise spindle- and fusiform-shaped cells, projecting two or three dendritic processes. Others are angular, with three or more dendritic processes. Thus, their shapes differ slightly from those of dermal fibroblasts. Their rate of cell division is predicated, at least in part, on whether they display the cell surface glycoprotein CD90, known as thymocyte antigen 1 (Thy-1). For the first time, Koumas et al. demonstrated that human orbital fibroblasts exhibited heterogeneous expression of Thy-1, and when separated into Thy-1+ and Thy-1− subsets, responded differently to extracellular stimuli, and showed distinct functionalities. When exposed to IL-1β or following CD40 ligation, Thy-1+ orbital fibroblasts produced considerably higher levels of PGE2 via upregulation of prostaglandin endoperoxide H synthase-2 (PGHS-2, also known as COX-2). Further, Thy-1+ orbital fibroblasts differentiated into myofibroblasts when treated with TGF-β, as was evidenced by strong immunofluorescence activity to α-SMA, whereas the Thy-1− subset underwent adipogenesis when treated with a PPARγ agonist.

The cellular attributes of orbital fibroblasts currently are thought to predispose to the pathologic processes associated with TAO. They display unique arrays of costimulatory molecules and cell surface receptors for various cytokines and growth factors. It is the particular profile of inflammatory cues to which they respond that appears to set them apart from other fibroblasts. For instance, leukoregulin, IL-1β, and CD40 ligand (also known as CD40L or CD154) vigorously induce PGHS-2 in orbital fibroblasts when compared to dermal fibroblasts. A major aspect of phenotypic divergence of orbital fibroblasts appears to relate to the disparities with which the IL-1 receptor antagonists (IL-1RA) isoforms are expressed. Unlike those from the skin, orbital fibroblasts express vanishingly low levels of secreted IL-1RA (sIL-1RA), the antagonist molecule that has the dominant role in blocking IL-1–derived signaling. Instead, intracellular IL-1RA is far more highly expressed and inducible in these cells. The exaggerated induction of PGHS-2 resulting from cytokines, such as IL-1β, is mediated through enhanced PGHS-2 gene promoter activity and mRNA stability. The upregulation of PGHS-2 was found to be accompanied by dramatically increased PGE2 production.

Orbital fibroblasts express PGE2 receptors and respond to this prostaglandin by developing multiple long cytoplasmic processes and generating cyclic adenosine monophosphate. In addition, PGE2 influences B cell class-switching, T cell differentiation, and mast cell degranulation, all of which might have roles in TAO. Hwang et al. recognized that orbital fibroblasts from patients with TAO display higher levels of CD40 than do cells derived from healthy donors. These levels are further upregulated by IFNγ. When ligated with CD40L, they produce hyaluronan, as well as IL-6, IL-8, and MCP-1. Interleukin-6 drives immunoglobulin production, development of plasma cells, IL-4 synthesis, and biases T cells toward Th2 development. Monocyte chemoattractant factor-1, a powerful chemoattractant, may be involved in promoting mononuclear cell infiltration in TAO. Interleukin-16 and RANTES are also produced by orbital fibroblasts, once they are activated by cytokines, such as IL-1β and IgGs, from patients with GD through the IGF-1 receptor pathway. Thus, fibroblasts may have important roles in T cell infiltration of the orbit and B cell differentiation. The embryonic origins of orbital fibroblasts have been debated for many years. Recently, a potential explanation for the cellular heterogeneity found in TAO orbital connective tissue has been provided by the recognition that a subset of
these cells apparently derives from the bone marrow.74

Progenitor cells, known as fibrocytes, have been found in
these orbital tissues from individuals with TAO, but not in
those from healthy donors. They derive from monocyte and B
cell lineages and circulate as peripheral blood mononuclear
cells (PBMCs).127 Fibrocytes ordinarily comprise approxi-
ately 0.5% of circulating PBMCs and can infiltrate connective
tissues at sites of injury.126 They participate in inflammation,
wound healing, and tissue remodeling, and also are involved in
fibrotic lung and kidney diseases.127,128 Fibrocytes synthesize
collagen I (Col I), display CD34 and CXCR4, and traffic to
tissues in response to multiple chemokines, including
CXCL12.129 They become more numerous in GD (Fig. 4),
and can differentiate into myofibroblasts and adipocytes, and,
thus, may account for the characteristic tissue remodeling
associated with TAO.130 The presence of fibrocytes in the TAO
orbit may explain the divergent phenotypes observed in
fibroblast populations.74,131

Fibrocytes unexpectedly express functional TSHR at levels
comparable to those displayed on thyroid epithelial cells.74 A
greater proportion of fibrocytes from donors with TAO express
TSHR than do those from healthy donors.132 The levels of
TSHR on fibrocytes are considerably higher than those on
orbital fibroblasts, regardless of whether they derive from
healthy tissues or those affected by TAO.132 When TSHR on
fibrocytes is ligated with bTSH or monoclonal TSI (M22),
production of several cytokines, including IL-6, IL-8, RANTES,
MCP-1, IL-1β, and TNF-α, is upregulated dramatically.74,132
Further, fibrocytes are morphologically similar to orbital
fibroblasts (Fig. 5A). The TAO orbital fat contains
CD34+TSHR+CXCR4+Col1+ cells in situ, and the fibroblasts
outgrowing these tissues display these markers74 (Figs. 5B, C).
CD4+ orbital fibroblasts, like their circulating fibrocyte
precursors,133 differentiated into either adipocytes or myofi-
broblasts, depending on the culture conditions to which they
were subjected.103,104

ADIPOGENESIS AND HYALURONAN PRODUCTION BY
ORBITAL FIBROBLASTS: REFLECTIONS OF TISSUE
REMODELING IN TAO

Thyroid-associated opthalmopathy is characterized by the
gross enlargement of extraocular muscles.134 While this is due
mostly to edema, the production of glycosaminoglycans
(GAGs) by the orbital fibroblasts and hyperplasia of the
adipose tissue also contribute to proptosis and can result in
compression of the optic nerve.134–136 Once lymphocytes
infiltrate and activate the orbital fibroblasts, these cells
produce GAGs and differentiate into myofibroblasts or
adipocytes.103,137–139

The cardinal feature of remodeling seen in TAO is the
disordered accumulation of hyaluronic, a nonsulfated GAG.
The extraordinary hydrophilic nature of hyaluronan causes
volume expansion within orbital tissues.140 Orbital fibroblasts,
as opposed to dermal fibroblasts, demonstrated a dramatic
increase in hyaluronan production when exposed to leuko-
ergulin, IFN-γ, and IL-1β through the induction of UDP-glucose
dehydrogenase141 and the hyaluronan syntheses.108,142 Further,
when incubated with CD40L, they exhibited substantial
correlation coordinates in hyaluronan and PGF2 synthesis, with
the latter being mediated through PGHS-2 and IL-1α synthe-
sis.106 The robust response is due to low-level expression of
sIL-1RA in orbital fibroblasts and subsequent poor inhibition of
IL-1β.109,110,111 Also, TGF-β has been shown to regulate
hyaluronan production (Fig. 6). Recently, PPARγ activation
was shown to suppress TGF-β-induced activation of fibrosis-

**FIGURE 4.** Increased generation of fibrocytes from PBMCs of patients
with GD. There was approximately 5-fold more fibrocytes in individuals
with GD compared to controls (5268 ± 1260 fibrocytes per 106 PBMCs, n = 70 versus control, 954 ± 329 fibrocytes per 106
PBMCs, n = 25, mean ± SD, P < 0.001). Reprinted with permission from
Douglas RS, Afifiyan NF, Hwang CJ, et al. Increased generation of
fibrocytes in thyroid-associated opthalmopathy. J Clin Endocrinol

**FIGURE 5.** (A) Similar spindle-shaped phenotypes among orbital
fibroblasts, dermal fibroblasts, and fibrocytes (hematoxylin and eosin,
×20). (B) Fibrocytes from individuals with GD display cell surface
receptor CD34.1, Immunofluorescence staining of CD34 in TAO-derived
tissue (inset as negative control). 2, Absence of CD34 expression in
healthy orbital tissue (inset as positive control). (C) Orbital fibroblasts
from individuals with and without TAO display similar receptors on
fibrocytes, as shown by flow cytometric analysis with anti-CD34 and
anti-Col I antibodies. Reprinted with permission from Douglas RS,
Afifiyan NF, Hwang CJ, et al. Increased generation of
fibrocytes in thyroid-associated opthalmopathy. J Clin Endocrinol
While ample evidence suggests the tissue, or type II, which is predominately extraocular muscle type I disease, which is characterized by expansion of adipose remain to be investigated thoroughly.

Some investigators assumed its origin to be the thyroid. Fernando et al. subsequently reported finding Tg expression by human CD34+ fibrocytes and trace levels in TAO orbital fibroblasts. Their report suggested that fibrocytes express Tg as a consequence of substantial Tg gene promoter activity. This results in levels of Tg mRNA considerably below those found in thyroid tissue. Further, they found that the Tg was functional in that it could be iodinated in situ. Their studies suggest the potential for fibrocytes to generate iodothyronines, such as thyroid hormones. Further, they also raise the possibility that Tg might have some role as an orbital antigen.

Mature TSHR mRNA was detected initially using PCR by Konishi et al., Kriss, and McDougall et al., who also identified Tg in orbit and in TAO orbital fibroblasts. The investigators assumed its origin to be the thyroid. Fernando et al. subsequently reported finding Tg expression by human CD34+ fibrocytes and trace levels in TAO orbital fibroblasts. Their report suggested that fibrocytes express Tg as a consequence of substantial Tg gene promoter activity. This results in levels of Tg mRNA considerably below those found in thyroid tissue. Further, they found that the Tg was functional in that it could be iodinated in situ. Their studies suggest the potential for fibrocytes to generate iodothyronines, such as thyroid hormones. Further, they also raise the possibility that Tg might have some role as an orbital antigen.

Some investigators assumed its origin to be the thyroid. Fernando et al. subsequently reported finding Tg expression by human CD34+ fibrocytes and trace levels in TAO orbital fibroblasts. Their report suggested that fibrocytes express Tg as a consequence of substantial Tg gene promoter activity. This results in levels of Tg mRNA considerably below those found in thyroid tissue. Further, they found that the Tg was functional in that it could be iodinated in situ. Their studies suggest the potential for fibrocytes to generate iodothyronines, such as thyroid hormones. Further, they also raise the possibility that Tg might have some role as an orbital antigen.

Mature TSHR mRNA was detected initially using PCR by Konishi et al., Kriss, and McDougall et al., who also identified Tg in orbit and in TAO orbital fibroblasts. The investigators assumed its origin to be the thyroid. Fernando et al. subsequently reported finding Tg expression by human CD34+ fibrocytes and trace levels in TAO orbital fibroblasts. Their report suggested that fibrocytes express Tg as a consequence of substantial Tg gene promoter activity. This results in levels of Tg mRNA considerably below those found in thyroid tissue. Further, they found that the Tg was functional in that it could be iodinated in situ. Their studies suggest the potential for fibrocytes to generate iodothyronines, such as thyroid hormones. Further, they also raise the possibility that Tg might have some role as an orbital antigen.

Mature TSHR mRNA was detected initially using PCR by Konishi et al., who detected TSHR mRNA in orbital fibroblasts (Fig. 8). Subsequently, these investigators found even higher levels in fibroblasts from individuals with TAO, especially when the cells were incubated under culture conditions favoring adipogenic

Figure 6. Immunofluorescence of the induction of hyaluronan with TGF-β in human orbital fibroblasts. Cultures were treated with nothing (controls) or TGF-β1 for 24 hours. (a, d, g) Contain images of cells stained with biotinylated HABP and demonstrate hyaluronan. (b, e, h) Contain monolayers stained with phalloidin and demonstrate F-actin. (c, f, i) Show cultures stained with DAPI and disclose nuclei. (a–c) Untreated controls. Hyaluronan staining appears to be perinuclear. TGF-β1 induced hyaluronan staining and formation of microvillus-like projections. Streptomyces hyaluronidasetreated fibroblasts failed to exhibit hyaluronan staining, as in (g–i). Reprinted with permission from Guo N, Woepler CF, Feldon SE, Phipps RP. Peroxisome proliferator-activated receptor γ ligands inhibit transforming growth factor-β-induced, hyaluronan-dependent, T cell adhesion to orbital fibroblasts. J Biol Chem. 2011;286:18856–18867. Copyright 2011 The American Society for Biochemistry and Molecular Biology.
differentiation. Thus, orbital tissues and their derivative fibroblasts express at least two proteins that were believed previously to be restricted to the thyroid epithelium. Furthermore, considerably higher levels of Tg and TSHR were found in fibrocytes. Expression of these proteins in orbital fibroblasts localizes, albeit at considerably lower levels, to the CD34\(^+\) orbital fibroblasts, which are derived putatively from fibrocytes. Orbital fibroblasts from healthy donors are uniformly CD34\(^-/\)C0. It would appear that expression of Tg and TSHR is dampened as fibrocytes infiltrate the orbit and cross-talk with CD34\(^-/\)C0 GD-orbital fibroblasts appear to downregulate Tg and TSHR expression. Taken together, we can conclude that circulating fibrocytes become more numerous in patients with GD and can traffic to the orbit where they participate in the ocular manifestations of the disease (Fig. 9).

**IGF-1R PATHWAY**

Since Ingbar et al. first described the functional relationship between TSH and IGF-1 pathways, much evidence has evolved to reinforce that proposed connectivity. They demonstrated that IGF-1 promoted rat thyroid epithelial cell proliferation and enhanced the effect of TSH on DNA synthesis. Subsequently, substantial overlap between TSHR and insulin-like growth factor-1 receptor (IGF-1R) downstream signaling was reported. Both receptors extensively utilize the Akt/FRAP/mTOR/P70\(^{S6}\)k pathway. Further, TSHR and IGF-1R form a functional and physical complex, suggesting a potential synergism that could promote abnormal signaling, such as that associated with GD. Monoclonal antibodies used to block IGF-1R signaling also attenuate that downstream signaling from TSHR, suggesting that IGF-1R may participate in physiological TSHR signaling.

Although TSHR has been established as the central autoantigen in GD, how it might participate in TAO remains less certain, as is the potential pathogenic involvement of other autoantigens. Insulin-like growth factor-1 influences several aspects of immunity, including thymic, B, and T cell development. Overexpression of IGF-1R has been demonstrated in autoimmune processes, such as those occurring in GD. The IGF-1 pathway was first implicated in GD when IgG...
from patients was found to displace radiolabeled IGF-1 from the surface of orbital fibroblasts.\textsuperscript{162}

Anti-IGF-1R antibodies have been detected in sera from many individuals with GD, whereas they are absent in the vast majority of sera from healthy controls.\textsuperscript{123,124,163–167} At least a subset of these antibodies appear to activate IGF-1R and to initiate signaling that can be disrupted with a dominant negative IGF-1R, as well as with monoclonal anti-IGF-1R blocking antibodies.\textsuperscript{124} Moreover, IGF-1R levels are increased on TAO orbital fibroblasts compared to those from healthy tissues.\textsuperscript{124} When TAO orbital fibroblasts are treated with IGF-1 or IgG from patients, the cells produced hyaluronan\textsuperscript{165} and two powerful T-cell chemoattractants, namely IL-16 and RANTES.\textsuperscript{123,124} These actions are mediated through the Akt/FRAP/mTOR/P70\textsuperscript{66k} pathway.\textsuperscript{125} Furthermore, T cells and B cells from patients with GD also skew toward the IGF-1R\textsuperscript{+} phenotype.\textsuperscript{168,169} Display of IGF-1R may protect against Fas-mediated apoptosis in B cells and is associated with the production of anti-TSHR antibodies by these cells.\textsuperscript{169}

**ANIMAL MODELS OF TAO**

Among the first animal models attempting to recapitulate GD experimentally was that created by Shimojo et al.\textsuperscript{170} These

---

**Figure 8.** Immunohistochemical analysis of TSHR immunoreactivity on orbital connective tissue from a donor with TAO. The immunostaining was conducted with a monoclonal antibody directed against TSHR (amino acids 604-764): (A) Orbital connective tissue. (B) Passage one exhibits intense staining. (C) Passage three with reduced staining. (D) Passage 5 culture fails to show staining. Reprinted with permission from Bahn RS, Dutton CM, Natt N, Joba W, Spitzweg C, Heufelder AE. Thyrotropin receptor expression in Graves' orbital adipose/connective tissues: potential autoantigen in Graves' ophthalmopathy. J Clin Endocrinol Metab. 1998;83:998–1002. Copyright 1998 The Endocrine Society.

**Figure 9.** Schematic illustrating the putative role of fibrocytes in the pathogenesis of TAO. CD34\textsuperscript{+} fibrocytes derive from the bone marrow and appear to be trafficked specifically to the orbit in TAO where they transition into CD34\textsuperscript{+} fibroblasts. Fibrocytes express relatively high levels of functional TSHR. Further, they can differentiate into either adipocytes or myofibroblasts in vitro. CD34\textsuperscript{+} orbital fibroblasts interact with the native residential CD34\textsuperscript{+} orbital fibroblasts, resulting in dramatic reduction of expression of TSHR and other thyroid proteins. We postulate that the magnitude of this suppression may underlie susceptibility to TAO.
investigators immunized mice with human TSHR (hTSHR)-transfected fibroblasts also expressing MHC class II antigen. Hyperthyroidism was detected in 20% of the animals. Later, Costaglia et al. reported hyperthyroidism resulting from infection with an expression plasmid containing hTSHR cDNA. Nagayama et al. injected an adenoviral vector expressing hTSHR into mice. This strategy resulted in a greater proportion (30%–50%) of animals developing hyperthyroidism. When the free A-subunit of hTSHR was used for immunizations instead of the intact receptor, 65% to 80% of mice developed hyperthyroidism. This model has proven replicable and is widely used as an animal model for GD. More recent studies have combined TSHR plasmid injection with electroporation to enhance transfection efficacy. However, these earlier attempts at creating a complete model of GD, including the ocular features of TAO, were not completely successful.

In 2011, Zhao et al. attempted to induce hyperthyroidism and orbital pathology in mice by immunizing animals with plasmids encoding TSHR A and IGF-1R. Deoxyribonucleic acid was delivered via skeletal muscle electroporation. Many mice developed hyperthyroidism and generated TSI. Surprisingly, animals immunized with plasmid harboring TSHR also developed antibodies directed against IGF-1R. Histopathological examination of the orbits revealed fibrosis. The IGF-1R-immunized mice also developed a strong anti-IGF-1R antibody response, but failed to exhibit a phenotype resembling GD. This study suggested an association between IGF-1R and TSHR, although the basis of anti-IGF-1R antibody generation in TSHR-immunized mice remains uncertain. Subsequently, Moshkelgosha et al. used the same plasmid electroporation strategy, demonstrated extensive tissue infiltration and remodeling within the orbit. The animals exhibited signs of marked orbital congestion, such as edema and chemosis. The majority of immunized animals developed blocking anti-TSHR antibodies and manifested hyperthyroidism. A feature of the ocular pathology found by this group was the dramatic infiltration of optic nerves, which is strikingly uncharacteristic of TAO. Unfortunately, no details concerning the status of intraocular tissues or the central nervous system following immunization were included in the report. Further, an explanation for the dramatically different hypothyroid phenotype and predominately blocking anti-TSHR antibody profile from this group’s earlier report was not discussed in detail. Thus, greater definition of this model, including more careful and complete interrogation of the animals and their interesting phenotype, will be necessary before these findings can be evaluated critically. Nakahara et al. described successful induction of TSI in wild type mice that received splenocytes from TSHR-immunized TSHR-knockout mice. Although this study suggests a role for anti-TSHR immune response in the development of GD, a low percentage of mice (22%) were hyperthyroid. Some of these mice later became hypothyroid. Furthermore, orbital tissue from two of the nine recipient mice demonstrated modest macrophage infiltration without the presence of striking extraocular muscle or fat enlargement, or lymphocytic infiltration. Thus, while encouraging, reports of preclinical mouse models for GD have appeared recently, there have been inconsistent results and a potentially confounding deviation from the human disease. Consequently, further study is required before the implications of these reports can be fully assessed.

TREATMENT IMPLICATIONS AND FUTURE PERSPECTIVES

Current medical therapy for active moderate to severe TAO is limited to corticosteroids and external beam radiotherapy. Surgical remediation usually awaits transition from active disease to the stable, chronic phase. This typically occurs over a course of a 36- to 48-month horizon. Unfortunately, none of these therapeutic approaches appears to alter the natural course of TAO, making development of new therapies critical to addressing an important unmet need. Thyroid-associated ophthalmopathy is a complex autoimmune condition that only now is being clarified. Greater definition of the molecular and immunological underpinnings of this condition should facilitate the process of therapy development. In addition, better animal models should allow critical preclinical testing of candidate therapies. Potential immunotherapies based on our current understanding of GD and TAO include depleting T cells with anti-CD3 antibodies or targeting CTLA-4, a regulator of T lymphocyte activation. Monoclonal antibodies against B cell surface antigen CD20, such as Rituximab, have demonstrated promising results in decreasing orbital inflammation in patients with TAO. However, the preliminary findings from the two recently completed controlled prospective studies of Rituximab suggest that its effectiveness may not be uniform. Alternative anti-B cell therapy might focus on anti-CD19, which would target plasmablasts and might provide a more complete response. Anti-cytokine therapy, such as Etanercept and Infliximab, has been associated with anecdotal improvement in a very limited cohort of patients with TAO. Controlled drug trials for these and related agents will be necessary before any conclusions can be drawn about their efficacy and safety in TAO. Anti-TSHR and anti-IGF-1R therapy also may prove to be effective. A trial of the latter strategy utilizing Teprotumumab as an IGF-1R blocking strategy currently is underway [available in the public domain at http://clinicaltrials.gov/show/NCT01868997].

Acknowledgments

Supported in part by National Institutes of Health Grants EY008976, EY011708, DK065121. Core Center for Vision Research through EY007003 from the National Eye Institute (NEI), and continued support from the Bell Charitable Foundation and Research to Prevent Blindness. TJS holds US patents 6936426, 7998681, 8155121, and 8178504.

Disclosure: Y. Wang, None; T.J. Smith, River Vision (C), P

References

Thyroid-Associated Ophthalmopathy


Thyroid-Associated Ophthalmopathy


