In Vivo Confocal Microscopy Demonstrates Bilateral Loss of Endothelial Cells in Unilateral Herpes Simplex Keratitis

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Purpose. To report bilateral corneal endothelial cell density (ECD), as well as its correlation with subbasal nerve changes, in patients with unilateral herpes simplex keratitis (HSK).

Methods. Thirty-six eyes of 36 patients with corneal scarring caused by HSK, as well as their respective contralateral clinically unaffected eyes, were prospectively studied and compared with 26 eyes of 26 healthy volunteers. In vivo confocal microscopy and corneal sensation of the central cornea were performed bilaterally in all patients and in one random eye of controls. The ECD and subbasal corneal nerve density, including the lengths of total nerves, main trunks, and branches were evaluated and correlated to central corneal sensation.

Results. The ECD was significantly lower in eyes affected with HSK than in controls (2304 ± 578 vs. 2940 ± 370 cells/mm², P < 0.0001). Surprisingly, lower ECD was also detected in contralaterally clinically unaffected eyes (2548 ± 423), compared to controls (P = 0.02). Both affected and contralateral eyes showed decrease in total nerve length, compared to controls (10.0 ± 6.3 vs. 17.6 ± 6.3 vs. 21.9 ± 4.3 mm/mm², respectively; P < 0.05 for all). The ECD correlated positively with total nerve length (r = 0.39, P = 0.0009) and with corneal sensation (r = 0.31, P = 0.009).

Conclusions. In vivo confocal microscopy findings demonstrated alterations in corneal ECD in both affected and clinically unaffected contralateral eyes of patients with unilateral HSK. Moreover, the positive significant correlation between the ECD and the subbasal nerve density may suggest a potential link between corneal innervation and corneal endothelial cell homeostasis.

Keywords: neurotrophic keratopathy, corneal endothelial cells, corneal innervation, in vivo confocal microscopy

The herpes simplex viruses (HSV) are ubiquitous pathogens, capable of causing either asymptomatic or symptomatic disease. Herpes simplex virus keratitis (HSK) is the most frequent infectious cause of blindness in developed countries.1 Liesegang et al.2 have reported an incidence of 8.4 primary ocular HSV infections per 100,000 person-years. Moreover, further investigation of the natural history of HSK shows a recurrence rate, after the first episode, of 9.6% at 1 year, 22.9% at 2 years, and 65.2% at 20 years.2

The cornea is the most densely innervated tissue of the body, supplied by the terminal branches of the ophthalmic division of the trigeminal nerve as ciliary nerves.3,4 Corneal nerves penetrate the corneal periphery in a radial distribution and form the subbasal nerve plexus between the Bowman's layer and the basal epithelium.5 Corneal innervation provides protective and trophic functions and regulates epithelial integrity, proliferation, and wound healing.6,6 Recently, in vivo confocal microscopy (IVCM) has greatly advanced the microscopic evaluation of ocular structures. Specifically, the corneal subbasal nerve plexus and endothelial layers have been shown to be important indicators of corneal health and disease.7–10

One of the sequelae of HSK is neurotrophic keratopathy, caused by impaired corneal innervation.1,11 The insufficient supply of trophic neural factors and impairment of the tear and blink reflexes are caused by loss of corneal innervation.2,12 More recent studies6,13,14 have shown changes in subbasal corneal nerves in patients with early-stage Fuchs' endothelial corneal cell dystrophy (FECD). These findings suggest that corneal nerve alterations may be involved not only in the pathogenesis of FECD, but also potentially in other diseases resulting in decreased endothelial cell density. The embryologic similarities between endothelium and neuronal cells may support the theory that FECD has a neurodegenerative component.7–10,15 Further, Koh et al.16,17 have reported that the neuropeptide vasoactive intestinal peptide (VIP) has a protective effect on the corneal endothelium, playing an active role in maintaining the differentiated state and suppressing apoptosis in endothelial cells. Finally, in a recent preclinical study, we have demonstrated that trigeminal nerve axotomy results in a continuous loss of corneal endothelial cells centrally and peripherically, which correlates with a significant decrease in VIP after axotomy. Loss of endothelial cells is prevented through daily replenishment of the neuropeptide VIP (Yamaguchi T, et al. IOVS 2014;55:ARVO E-Abstract 2077).
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Thus, in the current study, hypothesizing that corneal nerves may mediate endothelial cell homeostasis, we performed IVCM in patients with unilateral HSK, in order to analyze bilateral corneal endothelial cell density (ECD), as well as its correlation to subbasal nerve morphology and function.

PATIENTS AND METHODS

Patients

This was a prospective, cross-sectional, and controlled single-center study conducted in patients with unilateral corneal scarring due to a history of HSV keratitis. None of the patients was immunocompromised, and all eyes had a single focal central or peripheral corneal scar, with no signs of active keratitis. Both the affected and the contralateral clinically unaffected eyes were studied. Subjects were recruited from the Cornea Service of the Massachusetts Eye & Ear Infirmary, Harvard Department of Ophthalmology, Boston, Massachusetts, between 2010 and 2014, during their periodic clinical follow-up visits. Subjects with a history of ocular inflammatory disease, nonherpetic infectious keratitis, keratellisits, keratouveitis, ocular trauma, ocular surgery, contact lens use, diabetes, or any other systemic neuropathies were excluded from the study. The control group consisted of healthy volunteers without diabetes, any inflammatory systemic disease, any history of infectious or inflammatory eye disease, a history of ocular surgery, current use of contact lenses, or current use of eye drops. Before recruitment into the study, all patients and healthy subjects underwent a complete baseline ophthalmologic examination, including visual acuity measurement, anterior segment evaluation with a slit-lamp biomicroscope, fundus examination, and intraocular pressure measurement.

The protocol was approved by the Institutional Review Board/Ethics Committee from the authors’ institution, complied with the Health Insurance Portability and Accountability Act, and was conducted in accordance with the provisions of the Declaration of Helsinki. Informed consent was obtained from the subjects after explanation of the nature and possible consequences of the study.

In Vivo Confocal Microscopy

Laser scanning IVCM (Heidelberg Retina Tomograph 3 with the Rostock Cornea Module; Heidelberg Engineering GmbH, Heidelberg, Germany) of the central cornea was performed in all subjects as previously described. This microscope uses a 670-nm wavelength diode laser source and is equipped with a hydroxypropyl methylcellulose 2.5% was also placed on the ocular surface of the scar, and corneal sensation on the association of nerve parameters and endothelial density. P < 0.1 in univariate analysis was used as the entry level. The intraclass correlation coefficient was used to measure the association between quantitative variables. Pearson correlation coefficient was used to measure the association between quantitative variables. General linear model was applied to adjust the effect of age, sex, time since first episode, time since last episode, scar size, location of the scar, and corneal sensation on the association of nerve parameters and endothelial density. P < 0.1 in univariate analysis was used as the entry level. The intraclass correlation coefficient was used to measure the association between quantitative variables.
coefficient (ICC) was calculated to estimate the repeatability of the measurements between the observers. For each test, differences were considered significant at \( P < 0.05 \).

**RESULTS**

The results were based on the analysis of 36 eyes of 36 patients with corneal scarring caused by HSK, as well as their respective contralateral clinically unaffected eyes. Twelve patients with history of recurrent keratouveitis, including anterior uveitis, iritis, trabeculitis, and endotheliitis, in addition to four pseudophakic patients, were not included in the IVCM analysis. Twenty-six eyes of 26 age-matched healthy volunteers comprised the control group. Demographics of patients and controls are presented in Table 1.

### Corneal Endothelial Cell Density

The mean ECD was significantly lower in affected eyes with corneal scarring due to HSK than in controls (2304 ± 578 vs. 2940 ± 370 cells/mm², \( P = 0.0001 \); Figs. 1A, 1B, 2A; Table 2).

| Table 1. Baseline Characteristics of Controls and Patients With Corneal Scarring Due to HSK |
|----------------------------------|------------------|------------------|
| **Controls** | **Herpes Simplex Keratitis** |
| No. of patients | 26 | 36 |
| Sex, male/female, \( n \) | 10/16 | 17/19* |
| Age, y | 48.8 ± 11.1 | 53.0 ± 18.8† |
| Time since first episode, y | — | 6.3 (5.2; 15.1)‡ |
| Time since last episode, y | — | 0.6 (0.2; 2.8)‡ |
| No. of patients with central/peripheral cornea scar | — | 21/15 |
| Quadrant location of peripheral scar, superior/inferior/nasal/temporal | 6/1/2/6 | 6/1/2/6 |
| Corneal scar diameter, <2 mm/>2 mm | 25/11 |

Data presented as mean ± standard deviation unless otherwise noted.

* \( P = 0.50 \) (\( \chi^2 \) test) compared to controls.

† \( P = 0.31 \) (unpaired Student’s \( t \)-test) compared to controls.

‡ Values are expressed as median (25th; 75th percentiles).

**FIGURE 1.** In vivo confocal microscopy images obtained at the level of the endothelial cell layer and subbasal nerve plexus. (A) Normal endothelial cells layer of a normal patient of the control group. (B) Decreased ECD in the affected eye of a patient with HSK. (C) Decreased ECD in the contralateral clinically unaffected eye of a HSK patient. (D) Normal corneal subbasal nerve plexus of a normal patient of the control group. (E) Decrease in number and length of nerves in the affected eye of a patient with HSK. (F) Decrease in number and length of nerves in the contralateral clinically unaffected eye of a HSK patient.
Corneal sensation, cm 6.0

No. of main nerve trunks, n 6

Total number of nerves, n 21.9

Total nerve length, mm/mm² 12.3

Branch nerve length, mm/mm² 4.3

**Corneal Sensation**

Central corneal sensation in eyes affected with HSK (3.4 ± 2.2 cm) was lower than that of their contralateral clinically unaffected eyes (5.6 ± 0.9 cm) and also lower than that of the controls (6.0 ± 0.0 cm), (P < 0.0001 for both; Table 2). Although the mean of corneal sensation of the contralateral unaffected eyes was lower than that of the controls, the difference was not statistically significant (P = 0.08).

**Subbasal Corneal Nerve Density**

A significant diminishment in the subbasal corneal nerve density was found in affected eyes with HSK, compared with controls, including decreased main nerve trunk length (4.8 ± 3.3 vs. 9.6 ± 2.2 mm/mm², P = 0.0001), branch nerve length (5.2 ± 3.5 vs. 12.3 ± 3.3 mm/mm², P < 0.0001), and total nerve length (10.0 ± 6.3 vs. 21.9 ± 4.3 mm/mm², P < 0.0001; Figs. 1E, 1F, 2B; Table 2). The contralateral clinically unaffected eyes also demonstrated a significant diminishment, compared with the control group, including main nerve trunk length (8.0 ± 2.6 mm/mm², P = 0.01), branch nerve length (9.6 ± 4.8 mm/mm², P = 0.01), and total nerve length (17.6 ± 6.3 mm/mm², P = 0.02). Furthermore, the affected eyes demonstrated significantly lower nerve density than the contralateral unaffected eyes (P < 0.0001 for all parameters; Figs. 1E, 1F, 2B; Table 2). Patients with central scars showed significantly lower total nerve length (8.1 ± 6.1 mm/mm²) and total number of nerves (6.8 ± 5.2 n/frame) in comparison with patients with peripheral scars (12.5 ± 5.7 and 11.9 ± 5.5, respectively, P < 0.05 for all). Patients with recent HSK (last episode within past 2 years) showed lower total nerve length (7.5 ± 5.8 mm/mm²) and total number of nerves (7.0 ± 11.6 n/frame) than patients with last episode of HSK of longer than 2 years (13.2 ± 5.5 and 11.6 ± 5.5, respectively, P < 0.05 for all), suggestive of nerve regeneration over time.

**Correlation and Regression Analysis**

The two-way ICC, between the observers, was 0.99 (95% confidence interval [CI], 0.98–0.99) for ECD, 0.93 (95% CI, 0.85–0.96) for nerve number parameters, and 0.98 (95% CI, 0.96–0.99) for nerve length parameters.

Significant direct correlations were observed between ECD and branch nerve length (r = 0.96–0.99) for nerve length parameters. The ECD correlated positively with branch nerve length (0.96–0.99) for ECD, 0.93 (95% CI, 0.98–0.99) for nerve number parameters, and 0.98 (95% CI, 0.96–0.99) for nerve length parameters.

**Data presented as mean ± standard deviation.**

* Comparison between studied groups and controls (ANOVA with Bonferroni post hoc analysis).

† P < 0.0001, comparison between affected and contralateral unaffected eyes.
Corneal sensation showed a significant correlation with main nerve length ($r = 0.53$, $P < 0.0001$), branch nerve length ($r = 0.47$, $P < 0.0001$), and total nerve length ($r = 0.54$, $P < 0.0001$; Fig. 4). A significant positive correlation was found between ECD and corneal sensation ($r = 0.31$, $P = 0.009$).

General linear model demonstrated that after multiple adjustments for age, sex, time since first episode, time since last episode, number of recurrences, size of the corneal scar, and corneal sensation, the association between the nerve density parameters and ECD remained significant in the model; specifically, main nerve length ($\beta = 0.31$ and $P = 0.01$), branch nerve length ($\beta = 0.86$ and $P = 0.002$), and total nerve length ($\beta = 0.55$ and $P = 0.007$) were independent predictors of ECD in the model.

**DISCUSSION**

The present laser IVCM study demonstrated that the density of the subbasal corneal nerve plexus was reduced both in eyes affected with HSK and in contralateral clinically unaffected eyes, as compared to controls, consistent with our previous study performed with white light confocal microscopy.\(^{18}\) Although corneal innervation is believed to be mediated along a unilateral ophthalmic nerve pathway,\(^{20}\) bilateral nerve alterations after unilateral nerve damage have recently been reported by our group in patients with HSK\(^{18}\) and more recently in those with herpes zoster ophthalmicus (HZO).\(^{21}\) In both HSK and HZO patients, the loss of corneal sensation has been correlated with profound diminishment of the subbasal nerve plexus.

Herein, we observed a statistically significant decrease in ECD in eyes with HSK, as well as their respective contralateral clinically unaffected eyes, compared to eyes of healthy controls. Our data further showed a significant correlation between the ECD and the subbasal corneal nerve density. To our knowledge, this is the first study showing a bilateral decrease of ECD in eyes with unilateral corneal disease.

Neuropeptides and neurotrophins, such as substance P, VIP, calcitonin gene-related peptide, α-melanocyte-stimulating hormone, nerve growth factor, brain-derived neurotrophic factor, and glial cell-derived neurotrophic factor are found in...
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the cornea with anti-inflammatory and antiapoptotic effects.16–22 24 In addition, the corneal endothelium has been shown to secrete VIP and ciliary neurotrophic factor.25,26 Interestingly, VIP has been shown to suppress inflammation and to promote the survival of endothelial cells in stored human donor corneoscleral grafts.16,17,21 In a recent preclinical study (Yamaguchi T, et al. IOVS 2014;55:ARVO E-Abstract 2077), our group has shown that trigeminal axotomy results in continuous loss of corneal ECD in the axotomized eye, as compared to sham procedures. Furthermore, we have shown that VIP gene and protein expression levels are significantly decreased after the axotomy, as compared to controls. Additionally, daily injection of intraperitoneal VIP after axotomy prevents loss of central corneal endothelial cells. These preliminary findings support the hypothesis that the peripheral nervous system may promote corneal endothelial cell survival and homeostasis (Yamaguchi T, et al. IOVS 2014;55:ARVO E-Abstract 2077). Our IVCM data in the current study demonstrated low subbasal nerve and endothelial cell densities in the contralaterally clinically unaffected eye of patients with corneal scar due to HSK. The low to moderate positive correlations between ECD and subbasal corneal nerve parameters could potentially be attributed to the fact that bilateral loss of corneal nerves observed after unilateral HSK could result in decreased levels of neuropeptides, including VIP, possibly resulting in reduced endothelial bilayer homeostasis.

Recently, studies from our group and others have demonstrated alterations in corneal innervation in patients with early-stage FECD.13,14 The significant correlation between ECD and corneal nerve densities supports the theory of a potential interaction between corneal innervation and endothelial cell homeostasis.14 In addition, previous studies28,29 have shown that the mean central subbasal nerve density is significantly reduced in patients with corneal edema, including patients with FECD, pseudophakic bullous keratopathy, recurrent HSK, and glaucoma filtration surgery. Moreover, Zuh et al.15 have recently suggested that FECD may be a neurodegenerative disorder, on the basis of similarities in the embryologic origins between the corneal endothelium and neuronal cells. The corneal endothelium originates from the cranial neural crest and migrates over the corneal stroma during embryogenesis.30

Human corneal endothelial cells contain precursors that retain neuronal markers with the capability to differentiate into endothelial cells, but they can also produce neuronal and mesenchymal cell proteins.13,15,16,17,21 Moreover, in a study performed in patients who developed unilateral neurotrophic keratopathy after neurosurgery, Lambiase et al.32 have demonstrated that in addition to a significant decrease in subbasal nerve density and corneal sensation, the ECD is also significantly reduced in the neurotrophic eyes compared with both contralateral unaffected eyes and healthy controls. Moreover, lower ECD is also associated with a longer duration of neurotrophic keratopathy. Previous studies33–34 have shown evidence that the contralateral diminishment of corneal nerves could be mediated by the central nervous system in a neurogenic fashion, with peripheral trigeminal nerve fibers being directly projected to bilateral areas of brainstem nuclei and the caudal medulla. Therefore, primary trigeminal fibers could cross the pontine tegmentum to reach the contralateral principal nucleus. The presence of these nervous system circuits makes it possible that unilateral nerve injury may result in bilateral changes in central nervous system signaling.35 Although these data are in line with our current study and suggest that impairment of corneal innervation may induce alterations of endothelial cell function, they do not allow for conclusions about a direct link between nerve damage leading to ECD loss.

Hillenaar et al.35 have previously reported endothelial cell alterations in patients with HSK. In their study, there is a significant difference between the ECD in the eyes affected by HSV keratitis and the contralaterally clinically unaffected eyes. However, in the current study, we also demonstrated significantly lower ECD in both affected and contralaterally clinically unaffected eyes in patients with HSK, when compared to healthy controls. This discrepancy can be explained by the distinct difference regarding the mean ECD in the two control groups of the two studies. The stringent inclusion and exclusion criteria defined in our control group (including the absence of diabetes, any inflammatory systemic disease, any history of infectious or inflammatory eye disease, a history of ocular surgery, and current use of contact lenses) could be factors in accounting for the higher mean ECD found in our healthy controls, compared to the study by Hillenaar et al.35 While there is a relatively wide range regarding the average of the ECD in the healthy population cited in the literature, our stringent inclusion and exclusion criteria resulted in a higher normal control range than that reported in the study by Hillenaar et al.35 but one that is in line with several other studies that demonstrate a similar range.36–40

Vannas et al.41 have previously reported a mean ECD of 2947 and 2990 cells/mm² in the affected and contralaterally clinically unaffected eyes of patients with herpetic keratouveitis. In that study, the interval between the acute phase of the HSK and the date of corneal imaging is on average 16.1 weeks. In our study, by contrast, the acute phase predated the time of corneal imaging on average by 11.3 years. Considering that HSK typically recurs, the immune-mediated recurrent episodes over time can lead to a cumulative increase in damage to the subbasal corneal nerve plexus, as well as to the endothelial layer.

Patients with more recent HSK showed a lower nerve density than patients with HSK of longer than 2 years’ duration; nevertheless, no difference was found in ECD between these two groups. These data suggest nerve regeneration over time, which has been demonstrated in a variety of conditions that cause corneal nerve damage, such as after corneal transplantations, corneal laser refractive surgeries, and after infectious keratitis, including HSV keratitis.9,42–46 In contrast, previous reports47–48 have shown that endothelial cells have a limited proliferative capacity, not proliferating at all or in a rate that does not follow the rate of cell loss. Thus, the limitation in corneal endothelial cell proliferation corroborates our current data, as even with increase in corneal nerve density, the endothelial cells do not recover.

It is important to note that inflammation in eyes with HSK could, at least in part, affect endothelial cell survival, resulting in loss of endothelial cells.49,50 Despite purposefully excluding patients who had any history or signs of keratouveitis, endotheliitis, and intraocular surgery, we cannot rule out the possibility of direct viral damage to the endothelial cell layer. Moreover, inflammation that occurs during recurrent episodes of HSK could also be responsible for the low ECD in the affected eyes, but not in contralateral unaffected eyes. Although the contralaterally clinically unaffected eye had no history of keratitis, it could potentially have subclinical involvement by the HSV, with the frequency of bilateral ocular infection varying between 3% and 11.9%.51,52 Nevertheless, this scenario is less likely, as contralateral inflammation in the unaffected eye was not observed in our patients at any time point, while contralateral loss of nerves is seen as shown in our study. However, acute and chronic intraocular inflammations are known to injure endothelial cells, and a potential subclinical inflammation in the contralaterally unaffected eye could be one reason for a concomitant loss of nerves and endothelial cells.53

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Our study has several limitations. First, we only evaluated the central area of the cornea by IVCM and corneal sensation, regardless of the location of the corneal involvement. While we did not find significant difference in ECD in the central area of the cornea between the central and peripheral scar groups, the nerve density showed significant differences. The residual stromal scarring and fibrotic tissue present in the same location of the IVCM scans could potentially result in decrease of corneal nerve density in subjects with central corneal scars. Recently, studies from our group have shown that there is a differential corneal nerve damage and immune response in patients with HSK, depending upon the location of the corneal scarring (Muller RT, et al. IOVS 2014;55:ARVO E-Abstract 2846). Thus, one cannot necessarily extrapolate our findings to the peripheral cornea. In addition, topographic reproducibility and the difficulty of ensuring that exactly the same locations are tested with both techniques are currently not optimal. Further, the cross-sectional design of the study did not allow us to determine if the endothelial cell loss was progressive or not. Although our study showed decreased ECD in the contralateral clinically unaffected eyes, we are not proposing that corneal nerve density is the only predictor for ECD loss. Additional studies, with larger sample size and with longitudinal designs, are required to study the specific interaction and potential direct link between corneal nerves and endothelial cells.

In conclusion, our IVCM findings demonstrated alterations in corneal ECD in both the affected and clinically unaffected contralateral eyes of patients with unilateral corneal scarring due to HSK. The significant positive correlation between the endothelial cell density and the subbasal nerve density may suggest a potential connection between neurogenic hypostasis and corneal endothelial cell health. Additional studies are required to elucidate the specific interaction between corneal nerves and the endothelial cell layer, especially in patients with unilateral corneal disease.

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